

11/2/2003 14:54 User: 067143 Session: DF0111

STATION: 2 - HALOS OneSearch

File 1:INSPAC 1964-2003/Jul W2  
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 \*File 6: Alert feature enhanced for multiple files, duplicates removal, customized scheduling. See HELP ALERT.  
 File 8:RI Compendex(R) 1970-2003/Jul W2  
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 \*File 8: Alert feature enhanced for multiple files, duplicates removal, customized scheduling. See HELP ALERT.  
 File 34:SciSearch(R) Cited Ref Sci 1990-2003/Jul W3  
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 File 94:JICST-EPPlus 1985-2003/Jul W2  
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 File 315:ChemEng & Biotec Abs 1970-2003/Jun  
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 File 321:Derwent WPIX 1963-2003/UD,UM WUP-200347  
 (c) 2003 Thomson Derwent  
 File 347:JAFIC Oct 1976-2003/Mar Updated 037703.  
 (c) 2003 JPO & JAFIC  
 \*File 347: JAFIC data problems with year 2000 records are now fixed. Alerts have been run. See HELP NEWS 347 for details.  
 File 348:Chinese Patents Abs Aug 1985-2003/Mar  
 (c) 2003 European Patent Office  
 File 348:European Patents 1961-2003/ROPI 200349  
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 \*File 348: This file is not currently updating. The last update was 11/1/03.

Item	Items	Description
81	67163	NANOTUBE? ? OR NANO(T)UBE? ? OR NANOFILAMENT?? OR NANO(F)ILAMENT?? OR NANOFIBRE? ? OR NANO(F)FIBRE? ? OR NANOFIBER?? OR NANO(F)FIBER? ? OR NANOFIERIL? ? OR NANO(F)FIBRIL? ? OR FULLERENE
82	29692	CARBON(3N) NANOTUBE? ? OR NANO(F)TUBE? ?)
83	67263	S1:S2
84	120996	UNIFORM(117177(3N) (LAYER? OR MATERIAL? OR FILM? ? OR COAT??- ?? OR DIAMOND( ?)
85	67137	(DIAMOND( ? OR DIAMOND? ?( )LIKE) (3N) (LAYER? OR MATERIAL? OR FILM? ? (OR COAT???) OR CARBON)
86	166676	S4:S1
87	29648	THICK(111717(3N) RANG???)
88	341139	(NM) OR MICRON? ? OR ANGSTROM? ? OR NANOMETER? ? OR NM (3N - THICK???) OR SIZE?? OR DIMENSION???)
89	414756	S1:S2
90	26638	FIELD( ? (3N) (EMIT?????? OR CATHODE? ?)
91	8273	EMIT(111771(3N) CATHODE? ?
92	32941	S10:S11
93	25485	(PREVENT? OR AVOID? OR PRECLUDE? OR PROHIBIT? OR REDUCT? OR - ELIMINAT( (3N) (EVAPORAT????????? OR VAPOR?????????)
94	17007	CARBON(3N) EVAPORAT????????? OR VAPOR?????????)
95	47110	S13:S14
96	7666	S2 AND S6
97	137	S16 AND S9
98	7	S17 AND S12
99	7	RD (unique items)
100	130	S17 NOT S18
101	5	S20 AND S11
102	5	RD (unique items)
103	123	S23 NOT S21
104	123	S23 AND S1
105	95	S24 AND S2
106	95	S25 AND S3
107	95	S26 AND S6
108	19	S27 AND S4
109	7	S28 AND S8
110	7	RI S29 (unique items)
111	5	S10 AND S7
112	5	RD (unique items)
113	7	S11 AND S2
114	7	S35 AND S2
115	7	S32 AND S17
116	7	S31 AND S17
117	7	S33 AND S13
118	7	S33 AND S14
119	1626	S1 AND S1
120	29	S39 AND S4
121	29	S40 AND S3
122	19	RD (unique items)
123	15	S42 NOT S32,S22,S19

1286843 (Item 1 from file: 34)  
11A10: R/FILE 34:SciSearch(R) Cited Ref Sci  
11A11: Inst for Sci Info. All rts. reserv.

11286843 Genuine Article#: 63PKA Number of References: 380  
Title: Carbon nanostructures (ABSTRACT AVAILABLE)  
Author(s): Shenderova OA (REPRINT) ; Zhirnov VV; Brenner DW  
Corporate Source: N Carolina State Univ,Raleigh/NC/27695 (REPRINT); N  
Carolina State Univ,Raleigh/NC/27695; Int Technol Ctr,Res Triangle  
Pk/NC/; Semicond Res Corp,Res Triangle Pk/NC/  
Journal: CRITICAL REVIEWS IN SOLID STATE AND MATERIALS SCIENCES, 2002, V27  
, N3-4, P227-386  
ISSN: 1040-8436 Publication date: 20020900  
Publisher: CRC PRESS LLC, 2000 CORPORATE BLVD NW, JOURNALS CUSTOMER  
SERVICE, BOCA RATON, FL 33431 USA  
Language: English Document Type: REVIEW  
Abstract: An overview of the various carbon structures with characteristic  
sizes in the nanoscale region is presented, with special attention  
devoted to the structures and properties of 'nanodiamond' and  
**carbon nanotubes**. The term 'nanodiamond' is used broadly  
for a variety of **diamond based materials** at the nanoscale  
ranging from single diamond clusters to bulk nanocrystalline films.  
Only selected properties of **carbon nanotubes** are discussed,  
with an aim to summarize the most recent discoveries. Current and  
potential applications of carbon nanostructures are critically  
analyzed.

1993,AN/E (Item 2 from file: 34)  
JALOG(R)File 34:SciSearch(R) Cited Ref Sci  
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#261809 Genuine Article#: 384HB Number of References: 39  
Title: Enhancement in field emission of silicon microtips by bias-assisted  
carburization (ABSTRACT AVAILABLE)  
Author(s): Kichambare PD; Taintair FG; Chen LC (REPRINT) ; Chen KH; Chong  
HC  
Corporate Source: Natl Taiwan Univ,Ctr Condensed Matter Sci,Taipei  
106//Taiwan/ (REPRINT); Natl Taiwan Univ,Ctr Condensed Matter  
Sci,Taipei 106//Taiwan/; Natl Chiao Tung Univ,Dept Elect Engrn,Hsinchu  
30050//Taiwan/; Natl Chiao Tung Univ,Inst Elect,Hsinchu 30050//Taiwan/;  
Acad Sinica,Inst Atom & Mol Sci,Taipei 115//Taiwan/  
Journal: JOURNAL OF VACUUM SCIENCE & TECHNOLOGY B, 2000, V18, N6 (NOV-DEC)  
, P2722-2729  
ISSN: 1071-1023 Publication date: 20001100  
Publisher: AMER INST PHYSICS, 2 HUNTINGTON QUADRANGLE, STE 1N01, MELVILLE,  
NY 11747-4301 USA

Language: English Document Type: ARTICLE

Abstract: Ultrathin carbon layers with **thicknesses** below 50

**Angstrom** have been deposited on silicon microtip arrays by  
bias-assisted carburization (BAC) using microwave plasma chemical vapor  
deposition. The tip radius of these silicon tips is reduced below 55 nm  
under low deposition temperature. The field emission characterization  
has been performed in a high-vacuum environment. An enhancement in the  
field emission is observed of about 3 orders of magnitude in BAC  
silicon microtips over untreated silicon microtips. With an applied  
voltage of 110 V, emission currents of 80 and 120 mA have been  
achieved for the films grown (at dc bias of -20 V for 40 min) with 18%  
and 26% CH<sub>4</sub>/H<sub>2</sub> gas ratio, respectively. An emission current of 40 mA  
has been achieved for the film grown (at dc bias of -300 V for 30 min)  
with 3.5% CH<sub>4</sub>/H<sub>2</sub> ratio. The BAC silicon emitter has good emission  
stability at a constant voltage of 110 V. These investigations  
indicate that further improvement of this technology will lead to  
simple and inexpensive field emission display devices. © 2000  
American Vacuum Society. [S0734-211X(00)10706-1]

1448,AB/8 (Item 3 from file: 34)  
11A104(R)File 34:SciSearch(R) Cited Ref Sci  
3 1443 Inst for Sci Info. All rts. reserv.

35192914 Genuine Article#: VF929 Number of References: 36  
Title: SELF-DIFFUSION AND DYNAMIC BEHAVIOR OF ATOMS AT STEP EDGES OF  
IRIDIUM SURFACES (Abstract Available)  
Author(s): FU TY; TIENG YE; TSONG TT  
Corporate Source: ACAD SINICA, INST PHYS/TAIPEI 11529//TAIWAN/; ACAD  
SINICA, INST PHYS/TAIPEI 11529//TAIWAN/; NATL TAIWAN NORMAL UNIV, DEPT  
PHYS/TAIPEI 117//TAIWAN/  
Journal: PHYSICAL REVIEW B-CONDENSED MATTER, 1996, V54, N8 (AUG 15), P  
5932-5939  
ISSN: 0163-1729

Language: ENGLISH Document Type: ARTICLE

Abstract: Steps are an integral part of a surface. Many surface phenomena are to a very large extent affected or determined by the existence of lattice steps. We report a study of the dynamic behavior of atoms at step edges and on stepped surfaces of iridium. Diffusion of edge atoms along steps of different atomic structures, detachment or dissociation of step-edge atoms, descending and ascending motions of atoms at step edges, the upward movement of in-layer atoms, and the stable structure of **nanometer-size** islands have been investigated, and the activation barrier heights of various atomic processes at lattice steps have been derived. We have also derived parameters of adatom diffusion on the terrace of the Ir(113) and (331) surfaces to compare with those of ledge-atom diffusion along step edges of the Ir(111). Possible implications of the behavior of atoms at lattice steps in thin-film epitaxy are also discussed.

14-1, AB04 (Item 1 from file: 350)  
DIALOG(R) File 350:Derwent WPIX  
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001:3397

WPI Ass No: 2003-213944/200321

WRAM Ass No: C03-054801

MRPN Ass No: N03-170609

Minute particle dispersion used in electron-emitting element, contains  
microparticle dispersed in organic solvent which contains at least  
dipolar non-proton solvent

Patent Assignee: MATSUSHITA DENKI SANGYO KK (MATSU )

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2002255328	A	20020911	JP 2001243901	A	20010918	200321 B

Priority Applications (No Type Date): JP 2001243901 A 20000918

Patent Details:

Patent No	Kind	Law Po	Main I&C	Filing Notes
JP 2002255328	A		14-0113-011/02	

Abstract (Basic): JP 2002255328 A

Abstract (Basic):

NOVELTY - A minute particle dispersion contains a microparticle  
dispersed in an organic solvent which contains at least a dipolar  
non-proton solvent.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for the  
following:

1. Manufacture of minute particle dispersion which involves  
dispersing microparticle by making microparticle to transfer in the  
organic solvent containing at least dipolar non-proton solvent;
- (2) Purification of microparticle which involves forming a mixture  
containing microparticle dispersed in the organic solvent containing at  
least dipolar non-proton solvent, classifying and extracting  
microparticle from the dispersion;
3. Ink for inkjet contains the minute particle dispersion;
4. Formation of skin layer of microparticle which involves coating  
the minute particle dispersion on a support and volatilizing and drying  
the organic solvent to form skin layer of microparticle on the support;
5. An electron-emitting element (10) which has an  
electroconductive component (I) (101) provided on a support (101), an  
electron-emission component (102) having skin layer of microparticle  
provided on the electroconductive component (I) and an  
electroconductive component (II) (103) by which a bias is performed  
with respect to the electroconductive component (I);
6. A plane-light-emitting device which has the electron-emitting  
element and a fluorescent material is provided on the electroconductive  
component (II) of the electron-emitting element;
- (7) An image display device which has 1 or more electron-emitting  
elements. A fluorescent material is provided on the electroconductive  
component (II) of the electron-emitting element. An image is displayed  
by the electron released from electron-emitting elements;
- (8) A gas discharge panel which has a fluorescent layer formed by  
coating a liquid which dispersed the fluorescent-material microparticle  
in the organic solvent containing the dipolar non-polar solvent, on

pair of glass substrates. Ultraviolet rays produced in the discharge space in the glass substrates, are irradiated to the fluorescent layer. The gas discharge panel transforms into visible light and displays an image; and

(9) A solid vacuum device which has an electroconductive component (I) provided on a support, an electron-emission component having skin layer of microparticle provided on the electroconductive component (I) and an electroconductive component (II) by which a bias is performed with respect to the electroconductive component (I) arranged in a vacuum vessel.

USE - Used in ink for inkjets, electron-emitting element, plane-light-emitting element, image display device, gas discharge panel and solid vacuum device (all claimed) and also used in electron devices such as transistor and diode, secondary batteries, plasma display panel, liquid-crystal display device and hydrogen storage device.

ADVANTAGE - Since the microparticle has small grain size, the microparticle is dispersed uniformly in the minute particle dispersion. The minute particle dispersion is produced efficiently and has high purity. By using the minute particle dispersion, **uniform** microparticle skin **layer** is formed easily on the support at low operating voltage. The economical electron-emitting element, plane-light emitting element, image display device, gas discharge panel, solid vacuum device, plasma display panel and liquid-crystal display device having the minute particle dispersion, have excellent stability, uniformity and high yield.

DESCRIPTION OF DRAWING(S) - The figure shows the electron-emitting element and field-emission-type plane-light-emitting element using the electron-emitting element.

Electron-emitting element (100)

Support (101)

Electroconductive component (I) (102)

Electron-emission component (103)

Electroconductive component (II) (105)

pp; 19 DwgNo 1/6

1300,AP (Item 2 from file: 350)  
 11A003.k;File 350:Derwent WPIN  
 a 1103 Thomson Derwent. All rts. reserv.

314-60394

WPI App No: 2002-681100 (200203)

NRAM App No: 002-192116

NRPA App No: N02-573580

Planar field emission color lamp for illuminating flat panel display,  
 comprises field emission light source of **nanotube** emitters that are  
 arranged in serpentine shape

Patent Assignee: IND TECHNOLOGY RES INST (INTE-N)

Inventor: CHUNG F; TSAI K; WANG W

Number of Countries: 001 Number of Patents: 001

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 6426590	B1	20021130	US 2000432533	A	20000113	200273 B

Priority Applications (No Type Date): US 2000432533 A 20000113

Patent Details:

Patent No	Kind	Lat. Pg	Main IPC	Filing Notes
US 6426590	B1	14	H01-01/62	

Abstract (Basic): US 6426590 B1

Abstract (Basic):

NOVELTY - A planar field emission color lamp comprises a field  
 emission light source of **nanotube** emitters that are arranged in  
 serpentine shape.

DETAILED DESCRIPTION - A planar field emission color lamp with  
**nanotube** emitters comprises:

(i) a lamp body having an electrically insulating cover plate (44),  
 an electrically insulating base plate, two sidewalls and two end walls  
 forming a sealed cavity (50);

(ii) three space-apart, serpentine-shaped emitter stack(s) (54)  
 formed on the base plate, each being positioned parallel to the two end  
 walls and comprises a layer (48) of a first electrically conductive  
 material and a layer of **nanotube** emitter (52) on top;

(iii) a layer of a second electrically conductive material on a surface of the  
 electrically insulating cover plate facing the cavity;

(iv) three space-apart, serpentine-shaped fluorescent coating  
 strips (46) on the layer of second electrically conductive material  
 corresponding in a mirror image relationship to the three emitter  
 stack(s) when the cover plate is positioned over the base plate (42)  
 forming the lamp body; and

(v) electrically insulating spacers in between the cover plate and  
 the base plate for maintaining its present spacing.

Each of the three fluorescent coating strips is adapted for  
 emitting a red, green or blue light upon activation by electrons  
 emitted from the three emitter stacks.

USE - For illuminating flat panel display, e.g. as backlight source  
 for liquid crystal display (LCD).

ADVANTAGE - The color lamp does not require the production of  
 complicated microtip electron emitters. The conventional color filters  
 normally required is completely eliminated. The lamp combines the  
 desirable functions of a backlight and color filters into one  
 convenient package which can be produced by thick film printing  
 techniques for forming the **nanotube** emitter stacks. High quality



color illumination for the flat panel display units can thus be achieved at low production cost.

DESCRIPTION OF DRAWING(S) - The figure shows a partial, perspective view of the lamp with a diode structure.

Base plate (42)

Cover plate (44)

Silver paste layer (46)

ITO layer (48)

Cavity (50)

**Nanotube** emitter layer (52)

Emitter stack (54)

pp; 14 DwgNo 3/10

143,AB/c (Item 2 from file: 350)  
 11/13/03 R/FILE 350:Derwent WP1X  
 11/13/03 Thomson Derwent. All rts. reserv.

014086370

WPI App No: 2001-570544/200164

EP/AM App No: 01-1698-7

WPIX App No: N01-4252-3

**Nanotube** used in **electron field emitters**, e.g. flat panel displays, cathode ray tubes, has specified thickness of **uniform coating of diamond or diamond-like carbon**

Patent Assignee: FULLERENE INT CORP (FULL-N)

Inventor: DIMITRIJEVIC S; LOUTFY R O; WITHERS J C

Number of Countries: 45 Number of Patents: 005

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200161719	A1	20010813	WO 2001055129	A	20010216	200164 B
US 20010624078	A1	20010927	US 2000182834	P	20000216	200164
			US 2001784910	A	20010216	
AT 200137064	A	20010817	AT 200137064	A	20010216	200176
EP 1256124	A1	20021113	EP 200190901	A	20010216	200282
			WO 2001055129	A	20010216	
KR 2002087401	A	20021112	KR 200271712	A	20020916	200320

Priority Applications (No Type Date): IL 2000182834 P 20000216; US 2001784910 A 20010216

Patent Details:

Patent No Kind Loc P: Main IP: Filing Notes

WO 200161719 A1 E 4 H03-0017-14

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MH MN MW MX MZ NO NZ PL PT RO RU SD SE SF SG SI SK SL TJ TM TR TT TZ UA UG UY VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK EA ES FI FR GB GR GM JP IE IT KE LS LU MC MW NG NL OA PT SD SE SL SZ TR TZ UG ZW

WO 20010624078 A1 H03J-00115 Provisional application US 2000182834

AT 200137064 A H03-0017-14 Based on patent WO 200161719

EP 1256124 A1 E H03-0017-14 Based on patent WO 200161719

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT IL IS JP LU MC MW NG NL PT RO SE SI TR

KR 2002087401 A H03-0017-14

Abstract Basis: WO 200161719 A

Abstract Basis:

**ABSTRACT** - A nanotube has a uniform coating of diamond or diamond-like carbon, in which the coating is 10-110 nm thick.

**DETAILED DESCRIPTION** - INDEPENDENT CLAIMS are also included, e.g.:

(A) a field emission cathode in an electron field emitter comprising a substrate, nanotubes coating the substrate, and a uniform coating of diamond or diamond-like carbon on the nanotubes, in which the diamond and diamond-like carbon has a negative electron affinity which retards the evaporation of carbon from the nanotubes when the cathode is utilized in electron field emission;

(8) a method of enhancing the electron field emission from an electron **field emitter** having a **cathode** consisting of **nanotubes** coating a substrate, comprising **uniformly** coating the **nanotube** with an enhancing field emission effective amount of either **diamond** or **diamond-like carbon**; and

(9) a method for retarding the evaporation of carbon from an electron **field emitter**.

USE - Used in electron **field emitters**, e.g. flat panel displays, cathode ray tube (CRT), and multiple CRT displays.

ADVANTAGE - The **nanotubes** have enhanced electron emission characteristics, and retard and prevent the evaporation of **carbon** from **carbon nanotubes** during operation.

pp; 47 DwgNo 0/8

1003,AB: Item 1 from file: 347:  
DIALOG(R)File 347:JAPIO  
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0334980

**FIELD EMITTER**

INT. NO.: 2002-203471 [JP 2002203471 A]  
PUBLISHED: July 19, 2002 (20020719)  
INVENTOR(s): JISUON IIMU  
APPLICANT(s): JISUON IIMU  
APPL. NO.: 2001-190659 [JP 20011190659]  
FILED: June 25, 2001 (20010625)  
PRIORITY: 00 200078822 [KR 200078822], KR (Korea) Republic of, December 19, 2000 (20001219)

**ABSTRACT**

**PROBLEM TO BE SOLVED:** To provide a **field emitter** based on a **carbon nano-tube** capable of improving operation of a field emission display device.

**SOLUTION:** The **carbon nano-tube** 10 is covered with a high-hardness semiconductor layer or insulation layer 12. The semiconductor layer or insulation layer 12 is doped as an n-type, and has a **thickness** within several **nanometers (nm)** and excellent stability against collision from an external atom or particle normally used. A kind of compound of B, C and N including BN, GaN, SiBN, TiC, SiC and the like, some kinds of oxides including TiO<sub>2</sub>, Al<sub>2</sub>O<sub>3</sub>, MgO and the like and some dielectrics including SrTiO<sub>3</sub> and the like can be used for it, and **diamond-like carbon (LC)** or **diamond** particles can be used as well.

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22/2,AB/1 (Item 1 from file: 34)  
DIALOG-File 34:SciSearch(R) Cited Ref Sci  
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10927419 Genuine Article#: E86ET Number of References: 20  
Title: Effect of catalytic layer thickness on growth and field emission characteristics of **carbon nanotubes** synthesized at low temperatures using thermal chemical vapor deposition (ABSTRACT AVAILABLE)

Author(s): Park YI (REPRINT); Han IT; Kim HJ; Woo YS; Lee NS; Jin YW; Jung CB; Choi JH; Jung LS; Park OY; Kim CM

Corporate Source: Samsung Adv Inst Technol, FEL Project, P.O. Box 111/Suwon 440600/South Korea (REPRINT); Samsung Adv Inst Technol, FEL Project, Suwon 440600/South Korea; Sung Kyun Kwan Univ, Dept Vacuum Sci & Technol, Suwon 440440/South Korea; Seoul Natl Univ, Dept Chem, Seoul 151-742/South Korea; Sejong Univ, Dept Adv Mat Engrg, Seoul 143747/South Korea; Sung Kyun Kwan Univ, Dept Mat Engrg, Suwon 440740/South Korea/

Journal: JAPANESE JOURNAL OF APPLIED PHYSICS (PART 1-REGULAR PAPERS SHORT NOTES & REVIEW PAPERS), 2003, V41, NOA (JUL), 24079-4086

ISSN: 0021-4912 Publication date: 20020700

Publisher: INST PURE APPLIED PHYSICS, DAINI TOYOKAIJI BLDG, 4-24-8 SHINBASHI, MINATO-KU TOKYO, 105-0064, JAPAN

Language: English Document Type: ARTICLE

Abstract: The direct synthesis of **carbon nanotubes** (CNTs) on substrates by chemical vapor deposition (CVD) is a highly promising route for their application to field emission displays. Several stringent requirements have to be met for this purpose, including low-temperature growth below 600degreesC to utilize glass substrates and large-area deposition for practical use. In this study, we carried out the synthesis of CNTs by thermal CVD on glass substrates at temperatures as low as 500-550degreesC. CNTs were grown by thermal decomposition of CO and H-2 gases at atmospheric pressure for different thicknesses of layer Fe-Ni-Co alloy catalytic layers. The CNT growth was strongly correlated with the preparation conditions of the catalytic layers. The diameters and heights of as-grown CNTs increased with the catalytic layer **thickness** from 2 nm to 30 nm. Measurements of the field emission properties of the CNTs showed that the threshold electric fields decreased with increasing thickness of the catalytic **layers**. **Uniform** electron emission was observed over a large area of 150 x 150 mm(2) with high emission current and high brightness.

1003,AB/2 (Item 1 from file: 144)  
11A10G/R/144:Pascal  
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15667267 PASCAL No.: 02-0373489

High pressure **diamond** and **diamond-like carbon**  
deposition using a microwave CAP reactor

Diamond 2001 : Proceedings of the 12th European Conference on  
**Diamond, Diamond-like Materials, Carbon Nanotubes,**  
Nitrides & Silicon Carbide

MCCONNELL M L; DOWLING D P; POPE C; DONNELLY K; RYDER A G; O'CONNOR G M  
ROBERTSON John, ed; KAWARADA Hiroshi, ed; KOHN Erhard, ed; SITAR Zlatko,

Surface Engineering Group, Materials Technology Department, Enterprise  
Ireland, Glasnevin, Dublin, Ireland; National Centre for Laser  
Applications, NUI, Galway, Ireland

Diamond 2001: European conference on Diamond, Diamond-like Materials,  
Carbon Nanotubes, Nitrides and Silicon Carbide, 12 (Budapest HUN)  
2001-09-01

Journal: Diamond and related materials, 2002, 11 (3-6), 1036-1040  
Language: English

This paper describes the deposition of **diamond** and **diamond-**  
**like carbon coatings** using the Circumferential Antenna  
Plasma (CAP) reactor. Carbon coatings were deposited at pressures of 8000,  
5000 and 3300 Pa onto silicon wafers. The coatings were characterised using  
electron microscopy and Raman spectroscopy as a function of distance from  
the centre of the substrate holder. At 8000 Pa, **diamond**  
**coatings** were deposited up to 20 mm from the centre of the silicon  
wafer, while under the same deposition conditions, **diamond-like**  
**carbon** was observed in an annular region between 62 and 75 mm from  
the centre. At a deposition pressure of 5500 Pa, homogeneous free-standing  
**diamond films**, 120  $\mu$ m thick and 50 mm in  
diameter were deposited.

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12/3,AB/3 (Item 2 from file: 144)  
 DIALOG(R)File 144:Pascal  
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16649408 PASCAL No.: 02-0353856

A novel CW laser-powder method of **carbon** single-wall  
**nanotubes** production

Diamond 2001 : Proceedings of the 12th European Conference on  
**Diamond, Dia mond-like Materials, Carbon Nanotubes,**  
 Nitrides & Silicon Carbide

BOLSHAKOV A P; UGLOV S A; SAVELIEV A V; KONOV V I; GORBUNOV A A; POMPE W;  
 KRAFF A

ROBERTSON John, ed; KAWAFADA Hiroshi, ed; KOHN Erhard, ed; SITAR Zlatko,  
 ed

Natural Sciences Center of General Physics Institute, Bldg. L-2', Vavilov  
 Str. 38, 119991 Moscow, Russia; Institute of Materials Science, Dresden  
 University of Technology, 01062 Dresden, Germany; Institute for Solid State  
 and Materials Research (IWF), 01171 Dresden, Germany

Diamond 2001: European conference on Diamond, Diamond-like Materials,  
 Carbon Nanotubes, Nitrides and Silicon Carbide, 12 (Budapest HUN)  
 2001-09-02

Journal: Diamond and related materials, 2002, 11 (3-6) 927-930

Language: English

A novel continuous high productive laser-powder method of **carbon**  
 single-wall **nanotube** (SWNT) synthesis based on the laser ablation of  
 mixed graphite and metallic catalysts (Ni/Co = 1:1) powders by a 3-KW  
 continuous wave CO<sub>2</sub> SUB 2 laser in an argon or nitrogen stream has been  
 proposed. Thermal conductive losses of the laser power introduced in  
**micron-size** particles were significantly decreased compared to  
 laser heating of the bulk solid targets in known laser techniques. As a  
 result, more effective utilization of the absorbed laser power for material  
**evaporation** was achieved. The **carbon** soot yield obtained was 3  
 g/h. Preliminary tests have revealed a SWNT abundance in the soot of 20-40  
 with a mean diameter of 1.2-1.3 nm as established by Raman spectroscopy and  
 TEM. Approaches for the enrichment of the soot with **nanotubes** are  
 considered.

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22/3,AB/4 (Item 3 from file: 144)  
DIALOG(R)File 144:Pascal  
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15272090 PASCAL No.: 01-0442298

Observation of **carbon nanotubes** synthesized on various  
substrates using metal-phthalocyanine

ICSM 2000. Part III

KATAYAMA T; ARAKI H; KAJII H; YOSHINO K

NEUGEBAUER Helmut, ed; FARIDPTOI N Serdar, ed; KUZUANY Hans, ed; RESEL  
Roland, ed; LEISING Guenther, ed

Department of Electronic Engineering, Graduate School of Engineering,  
Osaka University, 2-1 Yamada-Oka, Osaka 565-0871, Japan

International Conference on Science and Technology of Synthetic Metals  
Gastein AUT) 2000-07-11

Journal: Synthetic metals, 2001, 131 (1-3) 1235-1236

Language: English

Multiwalled **carbon nanotubes** (MWNT) were synthesized on  
various substrates by vacuum chemical vapour deposition at 700-750 Degree C  
C using (Co, Ni, Fe)-phthalocyanines (Pcs) as source materials. Intense  
growth was observed on a quartz plate with a low surface tension and an  
oxidized surface of an Al plate. **Nanotubes** grew only at the  
micro-defects of Ni, Fe and stainless foils and only at uneven sites of Ta  
and W foils. No growth was observed on a smooth area of Ta and W foils with  
large surface tensions. When vapours of these metals impinge onto the  
substrate from the vapour phase, they may aggregate to a **nanometer-  
sized** particle with a high contact angle against the substrate  
because of their hard wetting, and nucleation of MWNTs may occur on the  
particle. We also performed CVD synthesis of MWNTs in a H<sub>2</sub> SUB 2 /Ar gas  
flow. When FePc was used as source **material, uniformly aligned  
nanotubes** grew at the edges of a quartz plate and the inner wall of a  
quartz tube,.

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11/3,AR/5 (Item 1 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
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013842874

API App No: 2000-514813/200046

EPAM App No: 000-153601

Composite material for chemiresistors, are formed by depositing non-electrically-conductive polymer and particles of electrically conducting material on a substrate by e.g. pulsed laser deposition

Patent Assignee: US SEC OF NAVY (USNA )

Inventor: CHRISEY D B; MCGILL E A; PIQUE A

Number of Countries: 026 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200044822	A2	20000313	WO 2000US1650	A	20000127	200046 H
AO 200025149	A	20000318	AO 200025149	A	20000127	200057

Priority Applications (No Type Date : US 99117467 P 19990127

Patent Details:

Patent No Kind Lar Pg Main IPC Filing Notes

WO 200044822 A2 E 20 CO6K-11/00

Designated States (National): AU CA JP KR MX

Designated States (Regional : AT BE CH CY DE DK ES FI FR GB GR IE IT LU

MC NL PT SE

AO 200025149 A CO6K-11/00 Based on patent WO 200044822

Abstract (Basic): WO 200044-11 A2

Abstract (Basic :

NOVELTY - Providing a coating of composite material such that electrically conducting particles are dispersed homogeneously throughout the non-electrically conductive polymer.

DETAILED DESCRIPTION - A composite material comprising electrically conducting polymer particles of an electrically conducting material dispersed throughout non-electrically conductive polymer deposited on a substrate, is formed by depositing the non-electrically-conductive polymer and particles of electrically conducting material on a substrate by pulsed laser deposition, matrix-assisted pulsed laser evaporation or matrix-assisted laser evaporation direct write.

INDEPENDENT CLAIMS are also included for:

(1) creating a layer of composite material on a receiving substrate comprises:

(a) providing a source of laser energy;

(b) providing a receiving substrate;

(c) providing a target comprising a mixture of a non-electrically-conductive polymer and particles of an electrically conductive material dispersed throughout the non-electrically conductive polymer;

(d) exposing the target to the sources of laser energy so that the laser strikes the target and causes a portion of the mixture of a non-electrically conductive material to absorb and be lifted from the target, the target and the receiving substrate being orientated w.r.t. each other such that the mixture of a non-electrically conductive polymer and particles of an electrically conductive material are transferred onto the receiving substrate, thereby forming a layer of the composite material;

(2) creating a composite material on a receiving substrate as above

where the target is a rotatable target and comprises first and second segments, and (d) comprises rotating while exposing the target to sources;

(3) a method as in (1), further comprising step (e), where the first and second targets are exposed individually to first and second laser sources such that the laser energies of the first and second targets causes a portion of the electrically (non)conductive material to separate from the target;

(4) the method as in (1), where the matrix material volatiles; and

(5) the method as in (1), where the first and second matrices consist respectively of non-electrically conductive polymer and electrically conductive material.

TSE - Used for chemiresistors.

ADVANTAGE - The thickness, uniformity, homogeneity, location and surface coverage of the coating are precisely controlled.

DESCRIPTION OF DRAWING(S) - Figure 1 is a schematic representation of the method for creating a composite layer by pulsed laser deposition.

apparatus for carrying out claimed method (100)

vacuum chamber (10)

laser (12)

lens (14)

target (16)

rotating arm (18)

plume (20)

substrate (22)

substrate holder (24)

quartz lamp (26)

thermocouple (28)

gas inlet port (30)

direction of gas flow (31)

bubbler (32)

nitrogen or argon (50)

mask (34)

pp; 21 DwgNo: 1/6

32/3,AB/1 (Item 1 from file: 8)  
DIALOG(R)File 8:EI Compendex(R)  
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05982173

E.I. No: EIP01566811519

Title: Fabrication and electron field emission properties of **carbon nanotube** films by electrophoretic deposition

Author: Gao, B.; Yue, G.Z.; Qiu, Q.; Cheng, Y.; Shimoda, H.; Fleming, L.; Zhou, G.

Corporate Source: Applied Nanotechnologies, Inc., Chapel Hill, NC 27514, United States

Source: Advanced Materials v 13 n 23 Dec 3 2001. p 1770-1773+1740

Publication Year: 2001

CODEN: ADVMEW ISSN: 0931-9648

Language: English

Abstract: **Uniform carbon nanotube films** can be readily formed by electrophoretic deposition, as is presented in this communication. By varying deposition current and time, films with **thicknesses** in the **range** between several tens of nanometers and a few micrometers can be fabricated. The macroscopic **nanotube** films also show excellent electron field emission characteristics. 17 Refs.

32/3,AB/2 (Item 1 from file: 144)  
DIALOGS: File 144:Pascal  
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15841951 PASCAL No.: 02-0357644

Evaluation of corrosion performance of ultra-thin Si-DLC overcoats with electrochemical impedance spectroscopy

Diamond 2001 : Proceedings of the 12th European Conference on

**Diamond, Diamond-like Materials, Carbon Nanotubes, Nitrides & Silicon Carbide**

PAPAKONSTANTINOVA P; ZHAO J F; RICHARDOT A; MCADAMS E T; MCLAUGHLIN J A  
ROBERSON John, ed; KAWASADA Hiroshi, ed; KOHN Erhard, ed; SITAR Zlatko, ed

NIBBOL, School of Electrical and Mechanical Engineering, University of Ulster at Jordanstown, Shore Road, Newtownabbey, Antrim BT37 1QB, United Kingdom

Diamond 2001: European conference in Diamond, Diamond-like Materials, Carbon Nanotubes, Nitrides and Silicon Carbide, 12 (Budapest HUN) 2001-03-01

Journal: Diamond and related materials, 2002, 11 (3-6) 1124-1129

Language: English.

**Diamond-like carbon (DLC) incorporating 3.6 at.% Si and with thickness ranging** from 2 to 100 nm were deposited on conducting Al SUB 1 O SUB 3 -TiC substrate by means of the PECVD technique. Electrochemical behaviour has been studied in 2 M HCl solution using AC impedance and polarisation measurements. The electrochemical impedance (EI) spectra were analysed in the context of an equivalent circuit model, which incorporated two time constants representing the Si-DLC coating and the corrosion reaction occurring at electrolyte Al SUB 2 O SUB 3 -TiC interface. However, 5 and 10 nm thick films displayed three phase constants suggesting the existence of interlayers, density gradients and inhomogeneities in the films. Results indicate that ultrathin films in the range 2-10 nm provide barrier properties, evidenced by increases of one order of magnitude relative to the substrate in the charge transfer resistance, which is a measure of the rate of electrochemical reactions occurring at the electrolyte/substrate interface. After the potentiodynamic scan, films thicker than 20 nm remained intact while their thinner counterparts exhibited a severely pitted surface. Pitting occurred preferentially in the TiC sites. The corrosion resistance of the ultrathin films increased substantially with immersion time in the electrolyte solution due to the filling of pores with a passivating material, thus stopping access of the electrolyte to the substrate.

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32/3,AB/3 (Item 2 from file: 144)  
DIALOG(R)File 144:Pascal  
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1s297588 PASCAL No.: 01-0470582  
CVD diamond for radiation detection devices  
Proceedings of Diamond 2001, the 11th European Conference on  
**Diamond, Diamond-like Materials, Carbon**  
**Nanotubes, Nitrides and Silicon Carbide**  
BERGONZO P; BRAMBILLA A; THOMSON D; HER C; GUIZARD B; FOULON F; AMOSOV V  
ROBERTSON John, ed; KAWARADA Hiroshi, ed; KOHN Erhard, ed; SITAR Zlatko,  
ed

LENI (CEA-Tecnologies Avancees) DEIN SPE, CEA/Saclay, 91191  
Sif-sur-Yvette, France; TRINITI, Division of Physics of Thermonuclear  
Reactors, Troitsk Moscow reg. 142092, Russia  
European Conference on Diamond, Diamond-like Materials, Carbon Nanotubes,  
Nitrides and Silicon Carbide (Diamond 2001), 11 (Porto PR7) 2000-09-03  
Journal: Diamond and related materials, 2001, 10 (3-7) 631-638  
Language: English

CVD **diamond** is a remarkable **material** for the fabrication of  
radiation detectors. Radiation hardness, chemical resistance and high  
temperature operation capabilities of diamond explain its use in the  
fabrication of devices operating in hostile environments such as that  
encountered in the nuclear industry and in high energy physics. For this  
purpose, we have investigated the growth of high quality chemically vapour  
deposited (CVD) polycrystalline diamond as well as specific material and  
device processing. CVD **diamond films** were grown using the  
microwave plasma enhanced technique. Deposition processes were optimised  
according to the application requirements. This includes the synthesis of  
films with high sensitivity, with weak optical absorption in the UV-UVB  
domain or with short carrier lifetime. One inherent problem with diamond is  
the presence of defect levels altering the detection characteristics: these  
may be the cause of an observed instability of the device responses. We  
have found, however, that it was possible to moderate these trends through  
the fine-tuning of the growth conditions and of the device preparation  
steps. Films with **thicknesses ranging** from 5 to 100 nm have  
been used for detector fabrication. The role of post-growth treatments and  
the contact formation procedure was also extensively studied, leading to  
significant improvements of the detector characteristics. We present recent  
developments studied at CEA for material optimisation towards its use for  
specific applications, including radiation hard counters; X-ray intensity,  
shape and beam position monitors; solar blind photo-detectors, and high  
rate gamma-meters.

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32/3,AB/4 (Item 3 from file: 144)  
DIALOG(R) File 144:Pascal  
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18123239 PASCAL No.: 01-0285434

Properties of aluminium nitride coating obtained by vacuum arc discharge method with plasma flow separation

Proceedings of Diamond 2001, the 11th European Conference on  
**Diamond, Diamond-like Materials, Carbon Nanotubes, Nitrides and Silicon Carbide**

INKIN V N; KIRPILENKO G G; KOLPAKOV A J

ROBERTSON John, ed; KAWARADA Hiroshi, ed; KOHN Erhard, ed; SITAR Zlatko, ed

Patinter Coatings Ltd., NIIMV, Zelenograd, Moscow 103460, Russia

European Conference in Diamond, Diamond-like Materials, Carbon Nanotubes, Nitrides and Silicon Carbide (Diamond 2001), 11 (Porto PRT: 2000-09-03)

Journal: Diamond and related materials, 2001, 10 (3-7) 1314-1316

Language: English

Aluminium nitride coating was obtained with the stationary vacuum arc source with separation (filtration) of aluminium plasma flow and nitrogen leak-in into the vacuum chamber at substrate temperature 313-673 K. The growth rate was 5-7  $\mu\text{m/h}$ . The crystal structure of aluminium nitride films with **thickness** 10-30 nm deposited on monocrystalline NaCl substrate was studied by electron diffraction method. The aluminium nitride coatings with **thickness** 1-3  $\mu\text{m}$  were investigated by X-ray examination methods. It was determined that the aluminium nitride coating with the **thickness** up to 30 nm has amorphous structure through the whole deposition temperature **range**. At the film **thickness** exceeding 1  $\mu\text{m}$  the coating structure is crystalline with hexagonal lattice. The microhardness of the aluminium nitride coating was 18-20 GPa and their resistivity was  $1-2 \times 10^{-1} \text{ SUP } 1 \text{ SUP } 1 \text{ OMEGA cm}$ . The aluminium nitride coating with **thickness** 1-3  $\mu\text{m}$  deposited on stainless steel samples preserves them from high temperature oxidation up to 1473 K. It was found out by forcing an indenter and by scribing that the aluminium nitride coating obtained at the mentioned substrate temperatures possesses good adhesion to steel, titanium, silicon, glass, sapphire substrates. Studies of optical characteristics of the aluminium nitride coating showed the possibility of its application as optically sensitive coating.

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350,AB/5 (Item 1 from file: 350)  
 DIALOG(R)File 350:Derwent WPIX  
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11/97689

WI: App No: 1997-060610/199704

WPI: App No: N97-053941

Field emission electron source having electrode with carbon fibres, for use in e.g. flat 2-D display - has electrode with **carbon fibres** incorporating **carbon nano-tubes** forming **uniform** flat **layer** and separate counter electrode, sandwiching perforated grid

Patent Assignee: ECOLE POLYTECHNIQUE FEDERALE LAUSANNE (EOL-N)

Inventor: CHATELAIN A; DEHEER W A; UGARTE D

Number of Countries: 01 Number of Patents: 02

Patent Family:

Parent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9642101	A1	19961217	WO 9618571	A	19960611	199706 B
AU 9657770	A	19960109	AU 9657771	A	19960611	199717

Priority Applications (No Type Date): US 96151 P 19960612

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 9642101 A1 E 24 H03-013/0.

Designated States (National): AL AM AT AU AD BB BG BR BY CA CH CN CZ DE DK EE ES FI GB GE HU IL IS JP KE KG KP KR KZ LK LR LS LT LU LV MD MG MK MN MW MX NO NZ PL PT RO RU SD SE SG SI SK TH TM TR TT UA UG US UZ VN

Designated States (Regional): AT BE CH DE DK EA ES FI FR GB GR IE IT KE LS LT MC MX NL OA PT SD SE SZ TG

AU 9657770 A H03-013/0. Based on patent WO 9642101

Abstract Basis : WO 9642101 A

The electron source has an electrode (1) with several carbon fibres, and a separate counter electrode, and a voltage source connected between the electrode and the counter electrode. The voltage source applies a high negative voltage to generate at the tip of the fibres a strong electric field causing the tip to emit electrons towards the electrode.

The **carbon fibres** incorporate **carbon nano-tubes** forming a **uniform** macroscopically flat **layer** on the substrate (11). An electrically conducting perforated plate or grid (14) is placed between the layer of **nano-tubes** and the counter electrode parallel to the surface of the layer but separate from it by an insulating spacer (13).

USE/ADVANTAGE - For use in field emission in medium vacuum conditions. Provides ultra thin electron source operating at room temp. with overall **thickness** ranging from not more than 20 microns to not less than 200 microns, and with area ranging from not more than 0.1 mm<sup>2</sup> to not less than 5 cm<sup>2</sup>. Can operate at lower electric field strengths compared with conventional field emission electron sources. Operates reliably at much higher pressures i.e. 10<sup>-6</sup> Torr. Can be used to produce very large area electron emitting surfaces. Production costs are very low. There are few restrictions on its geometry. Can be used to illuminate phosphor screens at close proximity e.g. flat cathode ray displays. Can be used as economical alternative electron source for devices utilising conventional ones.

Dwg. 1/11

43/3,AB/1 (Item 1 from file: 2)

DIALOG P:File 2:INSPEC

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744734 INSPEC Abstract Number: A2002-24-6116H-042, B2002-12-0520F-067

Title: Large-area deposition of **carbon nanotubes** for field emission displays

Author(s): Young-Jun Park; In-Taek Han; Ha-Jin Kim; Yun-Sung Woo; Nae-Sung Lee; Yong-Wan Jin; Jae-Bun Jung; Chong-Yun Park; Jong-Min Kim

Author Affiliation: PED Project, Samsung Adv. Inst. of Technol., Suwon, South Korea

Conference Title: Making Functional Materials with Nanotubes. Symposium (Materials Research Society Symposium Proceedings Vol.716) p.161-6

Editor(s): Bernier, P.; Ajayan, P.; Iwasa, Y.; Nikolaev, P.

Publisher: Mater. Res. Soc, Warrendale, PA, USA

Publication Date: 2002 Country of Publication: USA xiii+388 pp.

ISBN: 1 568 642 7 Material Identity Number: XX-2002-02513

Conference Title: Making Functional Materials with Nanotubes. Symposium

Conference Date: 16-19 Nov. 2001 Conference Location: Boston, MA, USA

Language: English

Abstract: A direct synthesis of **carbon nanotubes** (CNTs) on substrates by chemical vapor deposition (CVD) is one of highly probable routes to realize their application to field emission displays. Several stringent requirements are prerequisite for this purpose, including low temperature growth below 600 degrees C to engage glass substrates and large area deposition for practical use. This study carried out synthesis of CNTs by thermal CVD on glass substrates at temperatures as low as 400-550 degree C. CNTs were grown by thermal decomposition of CO and H<sub>2</sub>/sup 2/ gases at an atmospheric pressure for different thickness of invar (an Fe-Ni-Co alloy) catalytic layers. The growth of CNTs was strongly correlated with preparation of catalytic layers. The diameters and heights of as-grown CNTs increased as the catalytic layers became **thicker** from 1 nm to 50 nm. Measurements of the field emission properties of CNTs showed that the threshold electric fields were lowered with increasing thickness of catalytic **layers**. A **uniform** electron emission was observed over a large area of 150\*150 mm/sup 2/, with high emission currents and high brightness.

Subfile: A B

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43/3,AE/2 (Item 2 from file: 2)  
DIALOG(R) File 2:INSPEC  
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4414770 INSPEC Abstract Number: A2002-23-3115H-006

Title: Effect of catalytic layer thickness on growth and field emission characteristics of **carbon nanotubes** synthesized at low temperatures using thermal chemical vapor deposition

Author(s): Young-Jun Park; In-Taek Han; Ha-Jin Kim; Yun-Sung Woo; Nae-Sung Lee; Yong-Wan Jin; Jae-Eun Jung; Jun-Hee Cho; Deuk-Seok Jung; Chong-Yun Park; Jong-Min Kim

Author Affiliation: FHD Project, Samsung Adv. Inst. of Technol., Suwon, South Korea

Journal: Japanese Journal of Applied Physics, Part 1 (Regular Papers, Short Notes & Review Papers) vol.41, no.7A p.4679-85

Publisher: Japan Soc. Appl. Phys.

Publication Date: July 2002 Country of Publication: Japan

CODEN: JAPNDE ISSN: 0021-4922

SICI: 0021-4922(200207)41:7A:4679:BJLT;1-E

Material Identity Number: P221-2002-013

Language: English

Abstract: The direct synthesis of **carbon nanotubes** (CNTs) on substrates by chemical vapor deposition (CVD) is a highly promising route for their application to field emission displays. Several stringent requirements have to be met for this purpose, including low-temperature growth below 600 degrees C to utilize glass substrates and large-area deposition for practical use. In this study, we carried out the synthesis of CNTs by thermal CVD on glass substrates at temperatures as low as 500-550 degrees C. CNTs were grown by thermal decomposition of CO and H<sub>2</sub> gases at atmospheric pressure for different thicknesses of Invar (Fe-Ni-Co alloy) catalytic layers. The CNT growth was strongly correlated with the preparation conditions of the catalytic layers. The diameters and heights of as-grown CNTs increased with the catalytic layer **thickness** from 1 nm to 30 nm. Measurements of the field emission properties of the CNTs showed that the threshold electric fields decreased with increasing thickness of the catalytic **layers**. **Uniform** electron emission was observed over a large area of 150×150 mm<sup>2</sup> with high emission current and high brightness.

Supfile: A

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488,AB/3 (Item 3 from file: 2)  
DIALOG(R)File 2:INSPEC  
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INSPEC Abstract Number: A2002-20-8115L-007  
Title: Continuous Ni-layer on multiwall **carbon nanotubes** by an  
electroless plating method  
Author(s): Kong, F.Z.; Zhang, X.B.; Xiong, W.Q.; Liu, F.; Huang, W.Z.;  
Sun, Y.L.; Tu, J.P.; Chen, X.W.  
Author Affiliation: Dept. of Mater. Sci. & Eng., Zhejiang Univ.,  
Hangzhou, China  
Journal: Surface & Coatings Technology vol.155, no.1 p.33-6  
Publisher: Elsevier,  
Publication Date: 3 June 2002 Country of Publication: Switzerland  
CERN: SCITEP ISSN: 0257-8972  
SICI: 0257-8972(20020603)155:1L:33:CLMC;1-V  
Material Identity Number: 0647-2002-012  
U.S. Copyright Clearance Center Code: 0257-8972/02/\$22.00  
Language: English

Abstract: Electroless plating has been successfully applied for nickel  
coatings on multiwall **carbon nanotubes** (MWNTs) grown by  
chemical vapor deposition (CVD). The samples before and after coating were  
checked using transmission electron microscopy (TEM) and X-ray diffraction  
(XRD). The results showed that the coating process can be divided into two  
stages: nickel was first deposited as nanoparticles at the activated sites  
on the pre-treated surface of **carbon nanotubes** in the initial  
stage; it was then thickened later, as the reaction time increased and  
eventually formed a continuous **layer**. Finally a **uniform Ni-**  
**layer** on individual tubes with **thickness** of 20-40 nm can  
be obtained after coating. A simple model for the mechanism of the coating  
is also discussed.

Subfile: A  
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4:AB04 (item 4 from file: 2)

IIA000 R File 2:INSPEC

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054509 INSPEC Abstract Number: A2001-21-8120V-015

Title: Observation of **carbon nanotubes** synthesized on various substrates using metal-phthalocyanine

Author(s): Katayama, T.; Araki, H.; Kajii, H.; Yoshino, K.

Author Affiliation: Dept. of Electron. Eng., Osaka Univ., Japan

Journal: Synthetic Metals Conference Title: Synth. Met. (Switzerland)  
vol.121, no.1-3 p.1235-6

Publisher: Elsevier,

Publication Date: 15 March 2001 Country of Publication: Switzerland

CODEN: SYMED2 ISSN: 0379-6779

SICI: 0379-6779(20010315 121:1/3L:1235:OCNS;1-B

Material Identity Number: S233-2001-006

Conference Title: International Conference in Science and Technology of Synthetic Metals

Conference Date: 15-21 July 2000 Conference Location: Gastein, Austria  
Language: English

Abstract: Multiwalled **carbon nanotubes** (MWNT) were synthesized on various substrates by vacuum chemical vapour deposition at 900-950 degrees C using (Co, Ni, Fe)-phthalocyanines (Pcs) as source materials. Intense growth was observed on a quartz plate with a low surface tension and an oxidized surface of an Al plate. **Nanotubes** grew only at the micro-defects of Ni, Fe and stainless foils and only at uneven sites of Ta and W foils. No growth was observed on a smooth area of Ta and W foils with large surface tensions. When vapours of these metals impinge onto the substrate from the vapour phase, they may aggregate to a **nanometer-sized** particle with a high contact angle against the substrate because of their hard wetting, and nucleation of MWNTs may occur on the particle. We also performed CVD synthesis of MWNTs in a H/sub 2/Ar gas flow. When FePc was used as source **material**, **uniformly** aligned **nanotubes** grew at the edges of a quartz plate and the inner wall of a quartz tube.

Profile: A

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433,AB/5 (Item 5 from file: 2)  
 ANALOGFILE 2:INSPEC  
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INSPEC Abstract Number: A9323-6855-042

Title: Use of **fullerene films** as surfaces of **uniform**  
 electric potential

Author(s): Camp, J.E.; Schwarz, R.B.

Author Affiliation: Div. of Phys., Los Alamos Nat. Lab., NM, USA

Journal: Applied Physics Letters vol.63, no.4 p.455-7

Publication Date: 26 July 1993 Country of Publication: USA

CODEN: APPLAB ISSN: 0003-6951

U.S. Copyright Clearance Center Code: 0003-6951/93/63(4)/455/3/\$6.00

Language: English

Abstract: Continuous **fullerene** films (85% C/sub 60/, 15% C/sub 70/) of **thickness** approximately 10 nm have been sublimed on a metallic substrate previously coated with a 1 nm-thick Ge sublayer. The films show no surface potential variations when scanned with a Kelvin probe of 1 mV and 1 mm potential and spatial resolutions. Transmission electron microscopy reveals the **fullerene** films to be amorphous.

Subfile: A

13/3,AB/6 (Item 1 from file: 8)  
DIALOG(R)File 8:E1 Compendex(P)  
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05625911

E.I. No: EIP00085289#05

Title: Supercritical CO<sub>2</sub>-based production of **fullerene**  
nanoparticles

Author: Chattopadhyay, Pratibhash; Gupta, Ram B.

Corporate Source: Auburn Univ, Auburn, AL, USA

Source: Industrial and Engineering Chemistry Research v 39 n 7 Jul 2000.  
p 2281-2289

Publication Year: 2000

CODEN: IE3RED ISSN: 0888-5885

Language: English

Abstract: **Fullerene** nanoparticles have potential uses in a variety of applications including pharmaceuticals, lubricants, composite materials, specialized coatings, and interfacing membrane surfaces. In this study, the supercritical antisolvent process is used to reduce **fullerene** particle **size** from 40  $\mu\text{m}$  to as low as 29 nm. C<sub>60</sub>/C<sub>70</sub> dissolved in toluene is injected into supercritical CO<sub>2</sub>, causing precipitation of C<sub>60</sub>/C<sub>70</sub> as fine particles. Because of the high diffusivity of CO<sub>2</sub> in toluene, a rapid supersaturation is achieved, which results in the formation of C<sub>60</sub>/C<sub>70</sub> nanoparticles with a narrow size distribution. The effect of pressure, temperature, and jet velocity on particle size and morphology is studied. The particle size increases linearly with the density of supercritical CO<sub>2</sub>. A high jet velocity yields spherical particles whereas a lower jet velocity yields both spherical and rodlike particles. In most cases, a **uniform** thin **film** of the particles is obtained on the collection plate. (Author abstract) 33 Refs.

11/27/AB/ Item 1 from file: 34)  
H:\ALDO\KIFile\4:SciSearch(R) Cited Ref Sci  
Inst for Sci Info. All rts. reserv.

11676974 Genuine Article#: 691MN Number of References: 22  
Title: Coating single-walled **carbon nanotubes** with tin oxide (ABSTRACT AVAILABLE)  
Author(s): Han WQ; Zettl A (REPRINT)  
Corporate Source: Univ Calif Berkeley, Dept Phys, Berkeley//CA/94720 (REPRINT); Univ Calif Berkeley, Dept Phys, Berkeley//CA/94720; Univ Calif Berkeley, Lawrence Berkeley Lab, Div Mat Sci, Berkeley//CA/94720  
Journal: NANO LETTERS, 2003, V3, N5 (MAY), P681-683  
ISSN: 1530-6984 Publication date: 20030500  
Publisher: AMER CHEMICAL SOC, 1155 16TH ST, NW, WASHINGTON, DC 20036 USA  
Language: English Document Type: ARTICLE  
Abstract: Single-walled **carbon nanotubes** coated with

crystalline tin oxide by a simple chemical-solution route are reported. The room-temperature chemical treatment results in a nominally complete and **uniform coating** over the entire outer surface of singular **nanotubes**, **nanotube** bundles, and also **fullerene**-like nanoparticles. The samples have been characterized by high-resolution transmission electron microscopy, energy-dispersive X-ray spectrometry, and X-ray diffraction. The coating is composed of interconnected SnO<sub>2</sub> nanoparticles of **sizes** between 1-6 nm. Typically, the coatings have a total thickness on the order of the constituent nanoparticle size.

4:3,AP/5 Item 2 from file: 341  
C:\ALOG\REFile 34:SciSearch(R) Cited Ref Sci  
Inst for Sci Info. All rts. reserv.

1999033 Genuine Article#: 593UA Number of References: 27  
Title: Helical crystalline SiC-SiO<sub>2</sub> core-shell nanowires (ABSTRACT  
AVAILABLE)

Author(s): Zhang HF; Wang CM; Wang LS (REPRINT)  
Corporate Source: Washington State Univ, Dept Phys, 2710 Univ  
Dr/Richland//WA/99352 (REPRINT); Washington State Univ, Dept  
Phys, Richland//WA/99352; Battelle Mem Inst, Pacific NW Natl Labs, WR  
Wiley Environm Mol Sci Lab, Richland//WA/99352  
Journal: NANO LETTERS, 2002, V3, N9 (SEP), 9941-944  
ISSN: 1530-6984 Publication date: 20020911  
Publisher: AMER CHEMICAL SOC, 1155 16TH ST, NW, WASHINGTON, DC 20036 USA  
Language: English Document Type: ARTICLE

Abstract: Helical crystalline silicon carbide nanowires; covered with a  
silicon oxide sheath (SiC/SiO<sub>2</sub>) have been synthesized by a chemical  
vapor deposition technique. The SiC core typically has diameters of  
10-40 nm with a helical periodicity of 40-80 nm and is covered by a  
**uniform layer of 30-60 nm thick amorphous SiO<sub>2</sub>.**  
A screw-dislocation-driven growth process is proposed for the formation  
of this novel structure based on detailed structural characterizations.  
The helical nanostructures may find applications as building blocks in  
nanomechanical or nanoelectronic devices. The screw-dislocation-induced  
growth mechanism suggests that similar helical nanostructures; of a  
wide range of materials may be synthesized.

1993,ARJ: Item 3 from file: 34)  
11A0001R File: 34:SciSearch(R) Cited Ref Sci  
\* 2033 Inst for Sci Info. All rts. reserv.

98077/JAPAN/ Genuine Article#: VM259 Number of References: 5  
Title: OXIDATION PROTECTIVE CARBON LAYER FOR MAGNETIC PARTICLES BY  
SURFACTANT REDUCTION (Abstract Available)  
Author(s): JEYADEVAN B; SUZUKI Y; TOHJI K; MATSUOKA I  
Corporate Source: TOHOKU UNIV,DEPT RESOURCES ENGN,AOBA KU/SENDAI/MIYAGI  
98077/JAPAN/  
Journal: IEEE TRANSACTIONS ON MAGNETICS, 1996, V32, N5 (SEP), P4511-4513  
ISSN: 0018-9464

Language: ENGLISH Document Type: ARTICLE

Abstract: Encapsulation of fine metal particles by graphite would not only provide an oxidation protective layer but also acts as a solid lubricant that enables high speed scanning of magnetic tapes against a writing/recording head. In this paper, we propose a novel method to produce the graphite layer on the surface of magnetic particles. First, the surfactant is adsorbed onto the particle and then reduced/decomposed by bombardment of this treatment, the magnetic particles are **uniformly coated** with a graphite layer of a few **nanometers thick**.



10/1/84, ARJID (Item 4 from file: 34)  
Cited Ref Sci  
All rts. reserv.

Genuine Article#: GK727 Number of References: 14  
Title: DEPOSITION AND CHARACTERIZATION OF **FULLERENE** FILMS (Abstract  
Available)

Authors : HEBARD AF; HADDON RC; FLEMING RM; KORTAN AR  
Corporate Source: AT&T BELL LABS/MURRAY HILL/NJ/07974  
Journal: APPLIED PHYSICS LETTERS, 1991, V59, N17, P2109-2111  
Language: ENGLISH Document Type: ARTICLE

Abstract: Thermal sublimation of pure C60 and C70 has been used for depositing well-characterized **fullerene** films on a variety of substrates. Film purity is determined by infrared absorption spectra and the extent of crystallinity of the face-centered cubic structure by x rays. Thickness-dependent optical and electrical measurements reveal **uniform films** over the **thickness** range 200-1000 **angstrom**. We obtain optical absorption coefficients having values between those of Si and Ge and a relative permittivity having a value close to that of amorphous SiO2.

44/3,AB/11 (Item 1 from file: 144)  
DIALOG(R) File 144:Pascal  
[c] 2003 INIST/CNRS. All rts. reserv.

14657733 PASCAL No.: 00-0330246  
Supercritical CO SUB 2 -based production of **fullerene** nanoparticles  
CHATTOPADHYAY P; GUPTA P B  
Department of Chemical Engineering, Auburn University, Auburn, Alabama  
36839-5127, United States  
Journal: Industrial & engineering chemistry research, 2000, 39 (7)  
2281-2289

Language: English

**Fullerene** nanoparticles have potential uses in a variety of applications including pharmaceuticals, lubricants, composite materials, specialized coatings, and interfacing membrane surfaces. In this study, the supercritical antisolvent process is used to reduce **fullerene** particle size from 40  $\mu\text{m}$  to as low as 29 nm. C SUB 6 SUB 0 dissolved in toluene is injected into supercritical CO SUB 2, causing precipitation of C SUB 6 SUB 0 as fine particles. Because of the high diffusivity of CO SUB 2 in toluene, a rapid supersaturation is achieved, which results in the formation of C SUB 6 SUB 0 nanoparticles with a narrow size distribution. The effect of pressure, temperature, and jet velocity on particle size and morphology is studied. The particle size increases linearly with the density of supercritical CO SUB 2. A high jet velocity yields spherical particles whereas a lower jet velocity yields both spherical and rodlike particles. In most cases, a **uniform** thin film of the particles is obtained on the collection plate.

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GRAM Acc No: C03-117975

Figure 1. Schematic representation of the experimental design. The subjects were divided into two groups: the control group (C) and the experimental group (E). The control group (C) was divided into two subgroups: the control group (C) and the control group (C). The experimental group (E) was divided into two subgroups: the experimental group (E) and the experimental group (E). The control group (C) was divided into two subgroups: the control group (C) and the control group (C). The experimental group (E) was divided into two subgroups: the experimental group (E) and the experimental group (E).

Parent No	Kind	Date	Applicant No	Kind	Date	Week
JP 2001054922	A	20030226	JP 2001245481	A	20010813	200342 B

CONFIDENTIAL - 3347/27

Abstract (Basic):

[illegible]

(1) method of making single wall **nano tubes** (SWNT)  
which involves using composite carbon structure as electrode [E1], and  
performing electric discharge with electrode [E1] and electrode [E2].  
[E1] and [E2] carbon;

7. manufacture of composite carbon structure which involves  
 7.1 heating soot with a carbon rod that is filled with catalyst,  
 7.2 heating soot, grinding soot, mixing soot with binder material to  
 form intermediate product (P11), pressing intermediate product (P11),  
 7.3 heating intermediate product and cooling intermediate product;

the catalyst support for chemical vapor deposition, comprising  
a catalyst support material uniformly mixed with  
a carbon coated catalyst nanoparticles having nanometer-  
sized carbon particles embedded in carbon matrix;

4. method of forming carbon nano structure by chemical vapor deposition which involves heating catalytic support and supplying vapor source to catalytic support so as to grow carbon structure; and

5) preparation of catalyst for chemical vapor deposition involves generating soot from carbon rod filled catalyst such that soot includes carbon-coated catalyst nanoparticles, collecting soot, purifying soot by removing particles other than carbon-coated catalyst nanoparticles, suspending purified soot in solvent and dispersing purified soot onto catalyst support material].

035 - For manufacturing carbon nano structure and single wall nano tubes claimed..

**Advantages** - The catalyst nanoparticles are readily used during short reaction times associated with the production of carbon nano

structure, hence need not be broken down from micrometer size and are completely used during short reactions. The complete use of catalyst nanoparticles enables to produce a highly pure carbon nano structure. The carbon coating on the catalyst prevents them from oxidation and introduction of oxygen into the reaction zone. Therefore the carbon nano structure has reduced defects, and is amorphous.

DESCRIPTION OF DRAWING(S) - The figure shows the formation of single wall **nano tube** using **carbon** coated catalyst nanoparticles as starting material.

carbon coated catalyst nanoparticles (1)

single wall **carbon nano tube** (22)

**nanometer sized** carbon particles (30)

pp; 49 DwgNo 1/5

1. *Chlorophyll a* (Chl *a*)

HRAM Doc No: C02-125073

Number of Countries: 001 Number of Patents: 001

Page 1 of 1

Patent No	Kind	Date	Applicat No	Kind	Date	Week
JP 2003-66302	A	20020303	JP 200259567	A	20000829	200247 B

Priority Applications (No. Type Date): JP 2000253567 A 20000829

1. *Adaptation* (1994) 100, 101.

Patent No.	Kind	Ln	Pg	Main IPC	Filing Notes
2017066302	A		6	B61J-003/06	

Abstract (Basic): JP 2002066302 A

Postquam Basilis:

**NOVELTY** - The same diamond is obtained by heating a **carbon nano tube** at a high pressure of 10 GPa or more, and at 10 degreesC or more.

DETAILED DESCRIPTION - The nano diamond has grain **size** of 20-50 **nm**, and has octahedron structure. An INDEPENDENT CLAIM is included for nano diamond manufacturing method.

Polishing - Used to remove surface abrasives, used for cutting and recording on recording medium.

ADVANTAGE - The nano diamond as **diamond** sintered compact has **uniform grain size**. The nano diamond is manufactured easily.

DESCRIPTION OF DRAWING(S) - The figure shows the perspective  
sketch of the outline of the apparatus used for producing a ratio  
3:2:1.

155 6 2000 1 6

Item 1 from file: 3801  
 (AI) Derwent WPIX  
 (AI) Derwent. All rts. reserv.

1477667

SI: Acc No: 2002-097379/200213

SI: Acc No: 2002-030239

SI: Acc No: 2002-071990

Making substrates, i.e. drug delivery device, biocompatible, involves contacting oppositely charged substrate and starting material, and initiating alternating charge layer electrostatic self-assembly to form thin film.

Patent Assignee: CLAUS R O (CLAUS-R); SPILLMAN W B (SPIL-L); VIRGINIA TECH INTELLECTUAL PROPERTIES (VIRG -); WANG Y (WANG-Y)

Inventor: CLAUS R O; SPILLMAN W B; WANG Y

Number of Countries: 095 Number of Patents: 104

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200178906	A1	20011025	WO 2001US12342	A	20010413	200213 B
AT 200183442	A	20011031	AT 200153442	A	20010413	200219
US 2002007843	A1	20020318	US 2000198716	P	20000414	200228
			US 200183443	A	20010413	
EP 1783613	A1	20030305	EP 2001926941	A	20010413	200310
			WO 2001US12342	A	20010413	

Priority Applications: No Type Date: US 2000197776 P 20000414; US

200183442 A 20010413

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200178906 A1 E 54 B05D-001/04

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA CH CN CR CU CZ DE DK DM DZ EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP KE KG KP KR KZ LC LK LR LS LT LU LV MA MD MG MK MN MW MX MZ NO NZ PL PT RO RU SD SE SG SI SK SL ST TM TR TT TZ UA UG US UZ VN YU ZA ZW

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IL IT JP KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

WO 200153442 A B05D-001/04 Based on patent WO 200178906

WO 2002007843 A B05D-001/04 Provisional application US 2000197776

WO 200178906 A1 E B05D-001/04 Based on patent WO 200178906

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IL IT JP KE LS LU MC MW MZ NL OA PT SD SE SL SZ TR TZ UG ZW

Abstract: Based on WO 200178906 A1

Abstract: Based on:

ABSTRACT - A substrate is made biocompatible by contacting at least a portion of a charged substrate (1) with an oppositely charged starting material, and constructing a multi-layered film of alternating charged molecular layers on the substrate by electrostatic self-assembly. The starting material is a polymer (3).

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is also included for a biocompatible medical device or drug delivery device comprising a substrate, and an electrostatically self-assembled thin film.

USE - The method is for making materials, i.e. drug delivery device or medical device, biocompatible. It is useful in tissue engineering, and bone implantation. It may be used in (rubber) tubing used in dialysis or in heart lung machines, bandaging material, artificial hip, pacemaker, catheter, or stent.

ADVANTAGE - A biocompatible thin **film** that is **uniform** and **homogeneous** can be provided using the process.

DESCRIPTION OF DRAWING(S) - The figure shows a cross-sectional view of a thin film being made by electrostatic self-assembly.

Substrate (1)

Polymer (3)

Monolayer (4)

pp; 54 DwgNo 10b/10

JP 7,487,118 (Item 1 from file: 347)  
JAPANESE FILE NO. 347 JAPLO  
JP 7,487,118 & JAPLO. All rts. reserv.

JP 7,487,118

PRODUCTION OF SINGLE LAYERED NANOTUBE

Pub. No.: 11-116218 [JP 1116218 A]  
PUBLISHED: April 27, 1999 (19990427)  
INVENTOR(s): YAMAGUCHI CHIHARU  
MATSUMURA YUJI  
MATSUI FUMIO  
APPLICANT(s): OSAKA GAS CO LTD  
Appl. No.: 09-285360 [JP 97285360]  
FILED: October 17, 1997 (19971017)

ABSTRACT

PROBLEM TO BE SOLVED: To produce single **layered nanotubes** relatively **uniform** in diameter and length in a high yield.

SOLUTION: When **carbon nanotubes** are produced by a dry process such as laser beam vapor deposition, resistance heating, arc discharge, high-frequency induction heating, a plasma process, thermo-CVD, electron beam vapor deposition or combustion, starting material used is (1) highly metal dispersed carbon, that is, carbon contg. dispersed metal particles of  $\leq 100$  nm particle **size**, e.g. metal dispersed carbon obtd. by mixing starting material for the metal to starting material for carbon and carrying out liq. phase reaction and carbonization, metal related carbon, metal intercalated or doped carbon or a metal-carbon composite material obtd. by mechanical alloying, (2) metal combined carbon particles, that is, metal-carbon combined particles of  $\leq 100$  nm particle **size**, e.g. metal-carbon combined particles obtd. by feeding starting material for carbon such as methane and starting material for the metal such as an organometallic compd. into plasma or (3) methane and a metal or its compd.

REFERENCE: JP 1998, JPO



09/03 12:36:29 User267149 Session D860.1

SYNOPSIS: - BIALOG OneSearch

File 1:INSPEC 1969-2003/Jul W2

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\*File 2: Alert feature enhanced for multiple files, duplicates removal, customized scheduling. See HELP ALERT.

File 6:NTIS 1964-2003/Jul W4

(c) 2003 NTIS, Intl Cpyrgnt All Rights Res

\*File 8: Alert feature enhanced for multiple files, duplicates removal, customized scheduling. See HELP ALERT.

File 11:EI Compendex RI 1970-2003/Jul W2

(c) 2003 Elsevier Eng. Info. Inc.

\*File 13: Alert feature enhanced for multiple files, duplicates removal, customized scheduling. See HELP ALERT.

File 34:SciSearch(E) Cited Ref Sci 1980-2003/Jul W3

(c) 2003 Inst for Sci Info

File 434:SciSearch(E) Cited Ref Sci 1974-1989/Dec

(c) 1996 Inst for Sci Info

File 58:Dissertation Abs Online 1861-2003/Jun

(c) 2003 ProQuest Info&Learning

File 68:Inside Conferences 1993-2003/Jul W3

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File 94:JICST-EPlus 1985-2003/Jul W2

(c)2003 Japan Science and Tech Corp(JST)

File 99:Wilson Appl. Sci & Tech Abs 1983-2003/Jun

(c) 2003 The HW Wilson Co.

File 144:Passal 1973-2003/Jul W3

(c) 2003 CNIST-CNRS

File 148:Analytical Abstracts 1980-2003/Jun W1

(c) 2003 Royal Soc Chemistry

\*File 338: Alert feature enhanced for multiple files, duplicate removal, customized scheduling. See HELP ALERT.

File 515:ChemEng & Biotech Abs 1971-2003/Jun

(c) 2003 DECHEMA

File 580:Derwent WPIX 1963-2003/UD,WM IUP=200347

(c) 2003 Thomson Derwent

File 547:JAPIO Oct 1976-2003/Mar Updated 031703

(c) 2003 JFO & JAPIO

\*File 547: JAPIO data problems with year 2000 records are now fixed. Alerts have been run. See HELP NEWS 347 for details.

File 544:Chinese Patents Abs Aug 1985-2003/Mar

(c) 2003 European Patent Office

File 511:French Patents 1961-2002/BOPI 200209

(c) 2002 INPI All rts. reserv.

\*File 511: This file is not currently updating. The last update is 02/02/03.

47/25/2003

09/784,910

Ser	Items	Description
S1	104	AJ=(DIMITRIJEVIC, S? OR DIMITRIJEVIC S?)
S2	391	AJ=(WITHERS, J? OR WITHERS J?)
S3	579	AJ=(LOUTFY, R? OR LOUTFY R?)
S4	3	S1 AND S2
S5	2	RD (unique items)
S6	1	S1 AND S3
S7	3	S6 NOT S4
S8	121	S7 AND S3
S9	24	S8 AND (NANOTUBE? ? OR NANO(TUBE? ? OR NANOFILAMENT?? OR - NANO()FILAMENT?? OR NANOFIBRE? ? OR NANO()FIBRE? ? OR NANOFIB- ER?? OR NANO()FIBER? ? OR NANOFIBRIL? ? OR NANO()FIBRIL? ? OF FULLERENE)
S10	15	RD (unique items)
S11	14	S10 NOT S4

5.3,AR/1 (Item 1 from file: 2)  
DIALOG(R File 2:INSPEC  
(c 2003 Institution of Electrical Engineers. All rts. reserv.

6347490 INSPEC Abstract Number: A1999-24-7970-001

Title: Electron emission from films of carbon nanotubes and ta-C coated nanotubes

Author(s): Dimitrijevic, S.; Withers, J.C.; Mammana, V.P.;  
Monteiro, O.R.; Ager, J.W., III; Brown, I.G.

Author Affiliation: MERA Corp., Tucson, AZ, USA

Journal: Applied Physics Letters vol.75, no.17 p.2680-2

Publisher: AIP,

Publication Date: 15 Oct. 1999 Country of Publication: USA

CODEN: APPLAB ISSN: 0003-6951

DOI: 10.1063/1.481025(75:17L2680:EEFF;1-3

Material Identity Number: A135-1999-042

U.S. Copyright Clearance Center Code: 0003-6951/99/75(17)/2680(3)/\$15.00

Language: English

Abstract: The field emission properties of multiwall carbon nanotube films with and without a coating of tetrahedrally bonded amorphous carbon (ta-C) were investigated. Voltage thresholds of 2.4 V/  $\mu\text{m}$  for uncoated films and 1.3 V/  $\mu\text{m}$  for ta-C coated films were found. The results for the uncoated films are in good agreement with previous measurements of field emission from carbon nanotubes. The effect of the ta-C coating on the emission properties is discussed in light of current field emission models.

Subfile: A

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07/25/2007

09/784,910

113,AB12 (Item 1 from file: 350)  
 DIALOG:WFile 350:Derwent WPIX  
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014086370

WPI Acc No: 2001-5705+4/200164

XRAM Acc No: 001-1635A7

XRPX Acc No: N01-425332

Nanotube used in electron field emitters, e.g. flat panel displays,  
 cathode ray tubes, has specified thickness of uniform coating of diamond  
 or diamond-like carbon

Patent Assignee: FULLERENE INT CORP (FULL-N)

Inventor: **DIMITRIJEVIC S**; **LOUTFY F O**; **WITHERS J C**

Number of Countries: 23 Number of Patents: 005

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200161719	A1	20010423	WO 200108229	A	20010716	200164 B
US 2001014173	A1	20010427	US 2000182834	P	20000216	200164
			US 2001784910	A	20010316	
AT 200130064	A	20010317	AT 200137064	A	20010316	200176
EP 1236124	A1	20021113	EP 10190391	A	20010316	200282
			WO 200108229	A	20010316	
KR 2002457411	A	20021112	KR 2002710712	A	20010316	200320

Priority Applications (No Type Date): US 2001028214 P 20000216; US  
 2001744910 A 20010316

Patent Details:

Patent No Kind Loc Bz Main: IPT Filing Notes

WO 200161719 A1 E 4 H13-001014

Designated States (National): AE AG AL AM AT AU AZ BA BB BG BR BY BZ CA  
 CH CN CR CU CZ DE DK DM DO EE ES FI GB GD GE GH GM HR HU ID IL IN IS JP  
 KE KG KP KR KZ LC LF LG LR LS LT LU LV MA MD MG MF MN MW MX MY NZ NO NZ PL PT  
 RO RU SD SE SG SI SK SL TR TM TT TS UA UG UR VN WY ZA ZW

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT  
 IS IT KE LS LV MG MW NI ND OA PT SD SE SI TR

US 2001014173 A1 H01J-00113 Provisional application US 2000182834

AT 200130064 A H01J-001014 Based on patent WO 200161719

EP 1236124 A1 E H01J-001014 Based on patent WO 200161719

Designated States (Regional): AL AT BE CH CY DE DK ES FI FR GB GR IE IT  
 LT LU LV MC MK NL PT RO SE SI TR

KR 2002457411 A H13-001014

Abstract Basis: WO 200161719 A1

Abstract Basis:

NOVELTY - A nanotube has a uniform coating of diamond or  
 diamond-like carbon, in which the coating is 10-10<sup>3</sup> nm thick.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are also included for:

(A) a field emission cathode in an electron field emitter  
 comprising a substrate, nanotubes coating the substrate, and a uniform  
 coating of diamond or diamond-like carbon on the nanotubes, in which  
 the diamond and diamond-like carbon has a negative electron affinity  
 which retards the evaporation of carbon from the nanotubes when the  
 cathode is utilized in electron field emission;

(B) a method of enhancing the electron field emission from an  
 electron field emitter having a cathode consisting of nanotubes coating  
 a substrate, comprising uniformly coating the nanotube with an

enhancing field emission effective amount of either diamond or diamond-like carbon; and

(C) a method for retarding the evaporation of carbon from an electron field emitter.

USE - Used in electron field emitters, e.g. flat panel displays, cathode ray tube (CRT), and multiple CRT displays.

ADVANTAGE - The nanotubes have enhanced electron emission characteristics, and retard and prevent the evaporation of carbon from carbon nanotubes during operation.

pp; 47 DwgNo 0/8

01/23/AB/1 (Item 1 from file: 2)

INSPEC File: INSPEC

200: Institution of Electrical Engineers. All rts. reserv.

01/23: INSPEC Abstract Number: A9724-8110D-006

Title: Purification of C/sub 84/ by selective crystallization

Author(s): Lowe, T.P.; Withers, J.C.; Loutfy, R.O.; Saleh, M.Y.

Author Affiliation: MER Corp., Tucson, AZ, USA

Conference Title: Proceedings of the Symposium on Recent Advances in the Chemistry and Physics of Fullerenes and Related Materials. Vol.3 p.67-71

Editor(s): Kadish, K.M.; Ruoff, R.S.

Publisher: Electrochem. Soc., Pennington, NJ, USA

Publication Date: 1996 Country of Publication: USA xvii+1367 pp.

Material Identity Number: XX96-04383

Conference Title: Proceedings of Fullerenes: Recent Advances in the Chemistry and Physics of Fullerenes and Related Materials. Vol.3

Conference Date: 5-10 May 1996 Conference Location: Los Angeles, CA, USA

Language: English

Abstract: Selected higher-order fullerenes are crystallized from a mixture of fullerenes in an appropriate solvent upon concentration of the solution. A mixture of higher-order fullerenes is first extracted with a solvent in which the target molecule has a higher solubility than its larger homologs. The appropriate temperature is used to maximize the solubility differences. The target molecule is then precipitated selectively by formation of crystals during the concentration of the solution. During the concentration process, the appropriate temperature is again used to maximize the separation. The specific **fullerene** is therefore isolated by bracketing its solubility; the **fullerene** is more soluble than larger fullerenes in a given solvent, but limited solubility causes its precipitation to occur before other, smaller fullerenes. The crystallization process often requires two or three repetitions to produce highly purified (93-98%) **fullerene**. Conversely, the targeted **fullerene** may be the more soluble component in the mixture, and would be concentrated in the supernatant during the therefore.

Subfile: A

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11/1/AB/2 (Item 2 from file: 2)  
MAILING R'File 2:INSPEC  
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046634 INSPEC Abstract Number: A9710-6146-002

Title: **Fullerene** commercial vision

Author(s): **Withers, J.C.; Loutfy, R.O.; Lowe, T.P.**

Author Affiliation: Mater. & Electrochem. Res. Corp., Tucson, AZ, USA

Journal: **Fullerene Science and Technology** vol.5, no.1 p.1-31

Publisher: Marcel Dekker,

Publication Date: 1997 Country of Publication: USA

CERN: FTRECH ISSN: 1064-122X

SICI: 1064-122X(1997)5:1L1:FCV;1-X

Material Identity Number: A140-97001

U.S. Copyright Clearance Center Code: 1064-122X/97/\$10.00

Language: English

Abstract: Given the wide variety of **fullerene** morphology, their unique chemical and physical properties and the unsurpassed amount of **fullerene** research being conducted, it is strange that no commercial applications for these truly unique forms of carbon have surfaced. The many potential applications of fullerenes include their use as chemical reagents, material modifiers, electrodes, gas storage devices, optical filters, sensors, and a raft of other uses not touched upon here. The barriers to the rapid development of **fullerene** technology include the high cost of production of purified products, which is due in part to the low yield in the initial product of the arc production process, and to the limited solubility of spherical fullerenes in most solvents. Improvements in the ability to scale up production methods are expected to reduce the cost of **fullerene** materials, thereby opening the way to their use as improved replacements for existing materials and as innovative materials in their own right.

Subfile: A

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11/8,AB/3 (Item 3 from file: 2)

11/10/8/1/1/2:INSPEC

11/10/8/1/1/2 Institution of Electrical Engineers. All rts. reserv.

0000002 INSPEC Abstract Number: A9607-8120-005

Title: The formation of fullerenes from sonic velocity gaseous carbon.

Author(s): Pan, C.; Withers, J.C.; Loutfy, R.O.

Author Affiliation: MER Corp., Tucson, AZ, USA

Journal: Fullerene Science and Technology vol.4, no.1 p.49-65

Publisher: Marcel Dekker,

Publication Date: 1996 Country of Publication: USA

PTERM: FTFCRG ISSN: 1064-122X

SIPI: 1064-122X(1996)4:1L:49:FFFS;1-U

Material Identity Number: A140-96001

Language: English

Abstract: A contact arc and adiabatic expansion hybrid reactor has been utilized to provide a controlled cooling process of gaseous carbon species capable of attaining very high velocities. Both vaporization and annealing temperatures and annealing time were found to be important for the formation of fullerenes. Immediate rapid quenching of gaseous carbon resulted in the reduction of **fullerene** yields. However, rapid quenching was demonstrated to improve the **fullerene** yield by preserving the as-grown fullerenes in the high temperature annealing process.

Subfile: A

Copyright: 1996, IEE



11/3,AB/4 (Item 4 from file: 2)  
DIALOG(R)File 2:INSPEC  
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4110326 INSPEC Abstract Number: A3416-6116P-003

Title: Single-shell carbon **nanotubes** imaged by atomic force microscopy

Author(s): Hoper, R.; Workman, R.K.; Dong Chen; Sarid, D.; Yacav, T.;  
**Withers, J.C.; Loutfy, R.O.**

Author Affiliation: Opt. Sci. Center, Arizona Univ., Tucson, AZ, USA

Journal: Surface Science vol.311, no.3 p.L731-6

Publication Date: 20 May 1994 Country of Publication: Netherlands

CODEN: SUSCAS ISSN: 0039-6021

U.S. Copyright Clearance Center Code: 0039-6123/94/\$07.00

Language: English

Abstract: Single-shell carbon **nanotubes**, approximately 1 nm in diameter, have been imaged for the first time by atomic force microscopy operating in both the contact and tapping modes. For the contact mode, the height of the imaged **nanotubes** has been calibrated using the atomic steps of the silicon substrate on which the **nanotubes** were deposited. For the tapping mode, the calibration was performed using an industry-standard grating. The paper discusses substrate and sample preparation methods for the characterization by scanning probe microscopy of **nanotubes** deposited on a substrate.

Subfile: A

11/2,AR01 (Item 5 from file: 2)

11/100 N File :INSPEC

11/100 Institution of Electrical Engineers. All rts. reserv.

04882228 INSPEC Abstract Number: A9311-6155D-003

Title: Imaging **fullerene** C/sub 60/ with atomic resolution using a scanning tunnelling microscope

Author(s): Koruga, D.; Simic-Krstic, J.; Trifunovic, M.; Jankovic, S.; Hammerit, S.; Withers, J.C.; Loutfy, R.O.

Author Affiliation: MER Corp., Tucson, AZ, USA

Journal: Fullerene Science and Technology vol.1, no.1 p.23-1

Publication Date: 1993 Country of Publication: USA

CODEN: FTECHG ISSN: 1064-122X

Language: English

Abstract: C/sub 60/ was purified and imaged utilizing scanning tunnelling microscopy (STM) in a constant current mode. By fixing the fullerenes on the substrate ('frozen state'-no movement or rotation), direct imaging of C/sub 60/ with atomic resolution was possible, showing one pentagon and one hexagon carbon ring of C/sub 60/.

Subfile: A

1. *Chlorophyll a* (Chl *a*)

U. I. No: H11-93101111330

Title: Thermal diffusivity/conductivity of a compact of  $\text{Cr}_2\text{O}_3$

## fullerene

Author: Hasselman, D.P.H.; Donaldson, K.Y.; Withers, J.; Loutfy, R.O.

Corporate Source: Virginia Polytechnic Inst, Blacksburg, VA, USA

Source: Carpen v 31 n 6 1993. p 996-998

Publication Year: 1993

CODEN: CRBNAH ISSN: 0008-6223

Language: English.

Abstract: Experimental results show that in general, the magnitude of the thermal diffusivity/conductivity values for the samples used in this study are well below the values for the other crystal structures of carbon such as diamond and pyrrilthic graphite within the basal plane and even transverse to the basal plane. The magnitude of the thermal diffusivity and/or conductivity of the compacts of this study at room temperature is of the same order as those found for carbon black, carbon felt and granulated carbon seen in Refs.

11/1/88, AB#11 Item 2 from file: 9)  
11/1/88, R. File 5: El Compendex(R)  
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699899

E.I. No: EIP93091067859

Title: Effect of processing conditions on the morphology and yield of carbon nanotubes

Author: Seraphin, Supapan; Itoh, San; Iino, Jun; Withers, James C.; Loutfy, Raouf

Corporate Source: Univ of Arizona, Tucson, AZ, USA

Source: Carbon v 31 n 5 1993. p 685-689

Publication Year: 1993

CODEN: CRBNAH ISSN: 0008-6223

Language: English

Abstract: Morphology and fractional yield of carbon nanotubes depend strongly on the gas pressure in the reaction zone, as well as current density driven by different potentials across the electrodes. We report results on the correlation caused by variations in the processing conditions, using transmission electron microscopy. In addition to nanotubes, we observed various shapes of graphite particles such as hexahedra and polyhedra. (Author abstract) 6 Refs.

09/784,910

Language: ENGLISH Document Type: LETTER

11:48 AM Item 1 from file: 65)  
MAILING FILE 65: Inside Conferences  
11:48 AM RPSO all rts. reserv. All rts. reserv.

11:48 AM INSIDE CONFERENCE ITEM ID: CN015592853

**Fullerene** Prices: How Low Will They Be?

**Withers, J. C.; Pan, C.; Loutfy, R. O.**

CONFERENCE: Recent advances in the chemistry and physics of fullerenes and related materials-Symposium; 1st

PROCEEDINGS- ELECTROCHEMICAL SOCIETY PV, 1994; VOL 94-24 P: 27-39

Barrington, Electrochemical Society Inc, 1994

ISBN: 1566770523

LANGUAGE: English DOCUMENT TYPE: Conference Papers

CONFERENCE EDITOR(S): Kadish, K. M.; Ruoff, R. S.

CONFERENCE SPONSOR: Electrochemical Society Fullerenes Group

CONFERENCE LOCATION: San Francisco, CA

CONFERENCE DATE: May 1994 (199405) (199405)

NOTE:

Held as pt of the 185th Meeting of the Electrochemical Society

11/21/2003 (Item 1 from file: 144)  
11/21/2003 File 144:Passal  
11/21/2003 INIST/CNRS. All rts. reserv.

11/21/2003 PASCAL No.: 93-0632998  
Thermal diffusivity/conductivity of a compact of C<sub>60</sub> full-  
**fullerene**

HASSELMAN D P H; DONALDSON K Y; WITHERS J; LOUTFY R O  
Virginia polytech. inst., dep. materials sci. eng., thermophysical res.  
lab., Blacksburg VA 24061-0237, USA  
Journal: Carbon : New York, 1993, 31, 6: 996-998  
Language: English

11/10/03 WPI file 810:Derwent WPIX  
 11/10/03 Thomson Derwent. All rts. reserv.

11/10/04

WPI App No: 2003-352527/200333

WPI App No: 2003-352527

WPI App No: 2003-281517

Fluid complex used as heat transfer agent in closed heat transfer systems, comprises heat transfer fluid containing suspended carbon nanoparticles of specific bonding type to enhance thermal conductivity of fluid

Patent Assigned: LOUTFY R O (LOUT-I); WITHERS J C (WITH-I); MATERIALS & ELECTROCHEMICAL RES CORP (MATE-N)

Inventor: LOUTFY R O; WITHERS J C

Number of Countries: 022 Number of Patents: 002

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
US 20020100578	A1	20020801	US 2001265547	P	20010130	200333 B
			US 200259716	A	20020129	
WO 200304944	A2	20030116	WO 2002033116	A	20020129	200333

Priority Applications (No Type Date): US 2001265547 P 20010130; US

2001265547 A 20020129

Patent Details:

Patent No Kind Lan Bq Main IPC Filing Notes

US 2001265547 A1 009K-003/16 Provisional application US 2001265547

US 200304944 A1 009K-003/16

Designated States National: CA JP

Designated States Regional: AT BE CH CY DE DK ES FI FR GB GR IE IT LU MC NL PT SE TR

Abstract Basic: US 20010100578 A1

Abstract Basic:

NOVELTY - A fluid complex comprises a heat transfer fluid containing suspended carbon nanoparticles to enhance the thermal conductivity of the fluid. The carbon nanoparticles comprise carbon of sp<sup>2</sup> type bonding and sp<sup>3</sup> type bonding.

DETAILED DESCRIPTION - An INDEPENDENT CLAIM is included for method of transferring heat energy between thermally separated components comprising heat transfer agent.

USE - As heat transfer agent in closed heat transfer systems.

ADVANTAGE - The novel fluid complex improves heat transfer between components of a heat exchange system. The carbon nanofluid results in significant energy and cost savings for heat transfer thermal management, and supports miniaturization of heat exchanger systems. With the use of nanofluids, power densities are increased while dramatically reducing heat exchange pumping power. The addition of the carbon-based nanoparticles increases the connective heat transfer coefficient in a solid-fluid two-phase system. The carbon nanoparticles enhance the thermal conductivity of the fluid at any temperature by increasing the surface area and heat capacity of the fluid and flattening the transverse temperature gradient of the fluid.

pp: 7 DwgNo 0/0



11/1/2002 (Item 2 from file: 350)  
 CLASS: F. F. 35: Derwent WPIX  
 11/1/2002 Jackson Derwent. All rts. reserv.

13446944

WPI App No: 2000-618867/200059

WPI App No: C00-185311

WPI App No: N00-458641

Composite golf club head manufacture using by coating fiber array with  
 metal and hot pressing or squeeze casting  
 Patent Assignee: MATERIALS & ELECTROCHEMICAL RES CORP (MATE-N)  
 Inventor: KENNY W B; LOUTFY R O; NEWELL K J; PICKARD S M; WITHERS  
 J C

Number of Countries: 001 Number of Patents: 003

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 200054852	A1	20000921	WO 2000054858	A	20000314	200059 B
EP 1165191	A1	20000102	EP 2000916327	A	20000314	200209
			WO 2000054858	A	20000314	
JP 2002538906	W	20001119	JP 2001604923	A	20000314	200281
			WO 2000054858	A	20000314	

Priority Applications (No Type Date): US 99269251 A 19990318

Patent Details:

Patent No Kind Lan Pg Main IPC Filing Notes

WO 200054852 A1 E 68 A63B-053/04

Designated States (National): CA JP

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI

MC NL PT SE

EP 1165191 A1 E A63B-053/04 Based on patent WO 200054852

Designated States (Regional): AT BE CH CY DE DK ES FI FR GB GR IE IT LI

MC NL PT SE

JP 2002538906 W 1999 A63B-053/04 Based on patent WO 200054852

Abstract (Basic): WO 200054852 A1

Abstract (Basic):

NOVELTY - Manufacturing golf club structure involves coating  
 aluminum over a ceramic fiber array (142). The composite material is  
 hot pressed in a mold and holding temperature during pressing at  
 minimum of 400 degrees C to form diffusion bond between fiber and  
 matrix. Ceramic fiber content in the composite is 20-80% by weight.

DETAILED DESCRIPTION - INDEPENDENT CLAIMS are included for  
 manufacturing processes for the golf club structure:

1) A relatively porous preform is placed in a squeeze casting die  
 and the matrix metal is superheated and introduced into the mold under  
 sufficient pressure to penetrate the preform and rapidly solidify.

2) A quantity of tungsten powder is pressed in a die mold to form a  
 preform for the club structure. The preform is placed in a selected  
 region of the mold (141) with a quantity less dense of ceramic powder.  
 Squeeze casting with the molten metal (143) produces a golf club which  
 is selectively weighted determined by the positioning of the tungsten  
 component in the mold.

3) Using centrifugal casting to cause ceramic particles to migrate  
 to the edges of the club head. Highest concentration of ceramic  
 material is designed to be at the face and sole of the head.

4) The fiber array is impregnated with a phenolic resin containing  
 silicon powder particles and is heat treated for hardening. The club

head is removed from the mold and pyrolyzed.

5) A laminate structure is assembled, consisting of alternate layers of hard and soft material. The ends of the layers are presented as strips on the head face. The layers are bonded by diffusion bonding.

6) The golf club head can be coated with fullerenes. The titanium club head reacts with the **fullerene** to form an adherent coating of **fullerene** titanium carbide.

USE - Golf club head.

ADVANTAGE - Strength and hardness can be improved and club heads can be selectively weighted in different regions to provide performance advantages.

DESCRIPTION OF DRAWING(S) - The figure shows the squeeze casting process.

Die mold (141)

Fiber array (142)

Superheated molten aluminum (143)

pp; 65 DwgNo 8/9

11/1/94, 11/1/94 Item B from file: 3501  
 CLAIMS: 1-10, 12-13, 15-16, 18-19, 21-22, 24-25, 27-28, 30-31, 33-34, 36-37, 39-40, 42-43, 45-46, 48-49, 51-52, 54-55, 57-58, 60-61, 63-64, 66-67, 69-70, 72-73, 75-76, 78-79, 81-82, 84-85, 87-88, 90-91, 93-94, 96-97, 99-100, 102-103, 105-106, 108-109, 111-112, 114-115, 117-118, 120-121, 123-124, 126-127, 129-130, 132-133, 135-136, 138-139, 141-142, 144-145, 147-148, 150-151, 153-154, 156-157, 159-160, 162-163, 165-166, 168-169, 171-172, 174-175, 177-178, 180-181, 183-184, 186-187, 189-190, 192-193, 195-196, 198-199, 201-202, 204-205, 207-208, 210-211, 213-214, 216-217, 219-220, 222-223, 225-226, 228-229, 231-232, 234-235, 237-238, 240-241, 243-244, 246-247, 249-250, 252-253, 255-256, 258-259, 261-262, 264-265, 267-268, 270-271, 273-274, 276-277, 279-280, 282-283, 285-286, 288-289, 291-292, 294-295, 297-298, 300-301, 303-304, 306-307, 309-310, 312-313, 315-316, 318-319, 321-322, 324-325, 327-328, 330-331, 333-334, 336-337, 339-340, 342-343, 345-346, 348-349, 351-352, 354-355, 357-358, 360-361, 363-364, 366-367, 369-370, 372-373, 375-376, 378-379, 381-382, 384-385, 387-388, 390-391, 393-394, 396-397, 399-400, 402-403, 405-406, 408-409, 411-412, 414-415, 417-418, 420-421, 423-424, 426-427, 429-430, 432-433, 435-436, 438-439, 441-442, 444-445, 447-448, 450-451, 453-454, 456-457, 459-460, 462-463, 465-466, 468-469, 471-472, 474-475, 477-478, 480-481, 483-484, 486-487, 489-490, 492-493, 495-496, 498-499, 501-502, 504-505, 507-508, 510-511, 513-514, 516-517, 519-520, 522-523, 525-526, 528-529, 531-532, 534-535, 537-538, 540-541, 543-544, 546-547, 549-550, 552-553, 555-556, 558-559, 561-562, 564-565, 567-568, 570-571, 573-574, 576-577, 579-580, 582-583, 585-586, 588-589, 591-592, 594-595, 597-598, 600-601, 603-604, 606-607, 609-610, 612-613, 615-616, 618-619, 621-622, 624-625, 627-628, 630-631, 633-634, 636-637, 639-640, 642-643, 645-646, 648-649, 651-652, 654-655, 657-658, 660-661, 663-664, 666-667, 669-670, 672-673, 675-676, 678-679, 681-682, 684-685, 687-688, 690-691, 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1020-1021, 1023-1024, 1026-1027, 1029-1030, 1032-1033, 1035-1036, 1038-1039, 1041-1042, 1044-1045, 1047-1048, 1050-1051, 1053-1054, 1056-1057, 1059-1060, 1062-1063, 1065-1066, 1068-1069, 1071-1072, 1074-1075, 1077-1078, 1080-1081, 1083-1084, 1086-1087, 1089-1090, 1092-1093, 1095-1096, 1098-1099, 1101-1102, 1104-1105, 1107-1108, 1110-1111, 1113-1114, 1116-1117, 1119-1120, 1122-1123, 1125-1126, 1128-1129, 1131-1132, 1134-1135, 1137-1138, 1140-1141, 1143-1144, 1146-1147, 1149-1150, 1152-1153, 1155-1156, 1158-1159, 1161-1162, 1164-1165, 1167-1168, 1170-1171, 1173-1174, 1176-1177, 1179-1180, 1182-1183, 1185-1186, 1188-1189, 1191-1192, 1194-1195, 1197-1198, 1200-1201, 1203-1204, 1206-1207, 1209-1210, 1212-1213, 1215-1216, 1218-1219, 1221-1222, 1224-1225, 1227-1228, 1230-1231, 1233-1234, 1236-1237, 1239-1240, 1242-1243, 1245-1246, 1248-1249, 1251-1252, 1254-1255, 1257-1258, 1260-1261, 1263-1264, 1266-1267, 1269-1270, 1272-1273, 1275-1276, 1278-1279, 1281-1282, 1284-1285, 1287-1288, 1290-1291, 1293-1294, 1296-1297, 1299-1300, 1302-1303, 1305-1306, 1308-1309, 1311-1312, 1314-1315, 1317-1318, 1320-1321, 1323-1324, 1326-1327, 1329-1330, 1332-1333, 1335-1336, 1338-1339, 1341-1342, 1344-1345, 1347-1348, 1350-1351, 1353-1354, 1356-1357, 1359-1360, 1362-1363, 1365-1366, 1368-1369, 1371-1372, 1374-1375, 1377-1378, 1380-1381, 1383-1384, 1386-1387, 1389-1390, 1392-1393, 1395-1396, 1398-1399, 1401-1402, 1404-1405, 1407-1408, 1410-1411, 1413-1414, 1416-1417, 1419-1420, 1422-1423, 1425-1426, 1428-1429, 1431-1432, 1434-1435, 1437-1438, 1440-1441, 1443-1444, 1446-1447, 1449-1450, 1452-1453, 1455-1456, 1458-1459, 1461-1462, 1464-1465, 1467-1468, 1470-1471, 1473-1474, 1476-1477, 1479-1480, 1482-1483, 1485-1486, 1488-1489, 1491-1492, 1494-1495, 1497-1498, 1500-1501, 1503-1504, 1506-1507, 1509-1510, 1512-1513, 1515-1516, 1518-1519, 1521-1522, 1524-1525, 1527-1528, 1530-1531, 1533-1534, 1536-1537, 1539-1540, 1542-1543, 1545-1546, 1548-1549, 1551-1552, 1554-1555, 1557-1558, 1560-1561, 1563-1564, 1566-1567, 1569-1570, 1572-1573, 1575-1576, 1578-1579, 1581-1582, 1584-1585, 1587-1588, 1590-1591, 1593-1594, 1596-1597, 1599-1600, 1602-1603, 1605-1606, 1608-1609, 1611-1612, 1614-1615, 1617-1618, 1620-1621, 1623-1624, 1626-1627, 1629-1630, 1632-1633, 1635-1636, 1638-1639, 1641-1642, 1644-1645, 1647-1648, 1650-1651, 1653-1654, 1656-1657, 1659-1660, 1662-1663, 1665-1666, 1668-1669, 1671-1672, 1674-1675, 1677-1678, 1680-1681, 1683-1684, 1686-1687, 1689-1690, 1692-1693, 1695-1696, 1698-1699, 1701-1702, 1704-1705, 1707-1708, 1710-1711, 1713-1714, 1716-1717, 1719-1720, 1722-1723, 1725-1726, 1728-1729, 1731-1732, 1734-1735, 1737-1738, 1740-1741, 1743-1744, 1746-1747, 1749-1750, 1752-1753, 1755-1756, 1758-1759, 1761-1762, 1764-1765, 1767-1768, 1770-1771, 1773-1774, 1776-1777, 1779-1780, 1782-1783, 1785-1786, 1788-1789, 1791-1792, 1794-1795, 1797-1798, 1800-1801, 1803-1804, 1806-1807, 1809-1810, 1812-1813, 1815-1816, 1818-1819, 1821-1822, 1824-1825, 1827-1828, 1830-1831, 1833-1834, 1836-1837, 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2112-2113, 2115-2116, 2118-2119, 2121-2122, 2124-2125, 2127-2128, 2130-2131, 2133-2134, 2136-2137, 2139-2140, 2142-2143, 2145-2146, 2148-2149, 2151-2152, 2154-2155, 2157-2158, 2160-2161, 2163-2164, 2166-2167, 2169-2170, 2172-2173, 2175-2176, 2178-2179, 2181-2182, 2184-2185, 2187-2188, 2190-2191, 2193-2194, 2196-2197, 2199-2200, 2202-2203, 2205-2206, 2208-2209, 2211-2212, 2214-2215, 2217-2218, 2220-2221, 2223-2224, 2226-2227, 2229-2230, 2232-2233, 2235-2236, 2238-2239, 2241-2242, 2244-2245, 2247-2248, 2250-2251, 2253-2254, 2256-2257, 2259-2260, 2262-2263, 2265-2266, 2268-2269, 2271-2272, 2274-2275, 2277-2278, 2280-2281, 2283-2284, 2286-2287, 2289-2290, 2292-2293, 2295-2296, 2298-2299, 2301-2302, 2304-2305, 2307-2308, 2310-2311, 2313-2314, 2316-2317, 2319-2320, 2322-2323, 2325-2326, 2328-2329, 2331-2332, 2334-2335, 2337-2338, 2340-2341, 2343-2344, 2346-2347, 2349-2350, 2352-2353, 2355-2356, 2358-2359, 2361-2362, 2364-2365, 2367-2368, 2370-2371, 2373-2374, 2376-2377, 2379-2380, 2382-2383, 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analytic methods, while providing a fuel cell or battery for storing hydrogen and electrical energy.

Fig. 11

Abstract (Equivalent): US 5470680 A

A method for the electrochemical production of  $C_nH_x$  (where  $n = 2-10$ ,  $x = 4-10$ ) comprises the hydrogenation of  $C_n$  by applying a source of electric current across a pair of electrodes of an electrochemical cell. Where the electrodes are in contact with an effective proton donor electrolyte, wherein one of the electrodes comprises  $C_n$  in contact with a conductive material to which electric current is applied.

Fig. 11

11:47 AM Item 4 from file: 3552  
 MAILBOX File 387:Derwent WPIX  
 11:53 Thomson Derwent. All rts. reserv.

9404461

WI App No: 1994--3019/199410

EP App No: 94-137940

From: **fullerene(s)** - by evaporating fluid carbon, and  
 following quenched carbon prod contg **fullerene(s)**  
 Patent Assignee: MATERIALS & ELECTROCHEMICAL RES CORP (MATE-N)  
 Inventor: **LOUTFY R O; WITHERS J C**

Number of Countries: 030 Number of Patents: 009

Patent Family:

Patent No	Kind	Date	Applicat No	Kind	Date	Week
WO 9404461	A1	19940303	WO 93087654	A	19930812	199410 B
AT 9350113	A	19940315	AT 9350113	A	19930812	199428
EP 656870	A1	19930614	EP 93920057	A	19930812	199528
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EP 656870	A4	19970716	EP 93920057	A	19930812	199813
US 5576684	A	19980302	US 92930818	A	19920814	199916

Priority Applications (No Type Date): US 92930818 A 19920814

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Designated States (Regional): AT CH DE FR GB IT LI NL

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EP 656870 A4 C01B-031/10

US 5576684 A C01B-031/10

Abstract Basis: WO 9404461 A

Fullerenes are produced by: (a) evaporating a fluid form of carbon  
 in a non-oxidising environment that contains a quenching medium  
 (b) for condensing the evaporated carbon, and (c) collecting the  
 quenched carbon prod. which contg. at least one **fullerene**.

(a) is esp. particulate carbon, pref. fed continuously; it may be  
 in form of a fixed or fluidised bed. Alternatively, (a) may be a  
 gas, liq. or solid hydrocarbon, e.g. acetylene, toluene,  
 naphthalene or natural gas.

ADVANTAGE - Hufmen, Kratschmer, etc. al, Nature 347, p 304, 1991,  
 have disclosed a process for synthesising C60 and C70 fullerenes in  
 which vaporised carbon is produced from graphite rods; there is however  
 difficulty in scaling up this process. Such difficulty is overcome by  
 the present process, in which the carbon to be vaporised is fed in a  
 form that can be poured as a stream of particles or fed as a fluid.

Dwg.16/18